

Use of Free and Open-Source Software (FOSS) in the U.S. Department of Defense

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This report documents the results of a study by The MITRE Corporation on the use of free and open-source software (FOSS) in the U.S. Department of Defense (DoD). FOSS gives users the right to run, copy, distribute, study, change, and improve it as they see fit, without asking permission or making fiscal payments to any external group or person. The study showed that FOSS provides substantial benefits to DoD security, infrastructure support, software development, and research. Given the openness of its source code, the finding that FOSS profoundly benefits security was both counterintuitive and instructive. Banning FOSS in DoD would remove access to exceptionally well-verified infrastructure components such as OpenBSD and robust network and software analysis tools needed to detect and respond to cyber-attacks. Finally, losing the hands-on source code accessibility of FOSS source code would reduce DoD's ability to respond rapidly to cyberattacks. In short, banning FOSS would have immediate, broad, and strongly negative impacts on the DoD's ability to defend the U.S. against cyberattacks. For infrastructure support, the deep historical ties between FOSS and the emergence of the Internet mean that removing FOSS applications would strongly negatively impact the DoD's ability to support web and Internet-based applications. Software development would be hit especially hard due to many leading-edge and broadly used tools being FOSS. Finally, the loss of access to low-cost data processing tools and the inability to share results in the more potent form of executable FOSS software would seriously and negatively impact nearly all forms of scientific and data-driven research.

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This is about: FOSS, free and open-source software,
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Use of Free and Open-Source Software (FOSS) in the U.S. Department of Defense

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Executive Summary

This report documents the results of a short email-mediated study by [The MITRE Corporation](#) on the use of free and open-source software (FOSS) in the [U.S. Department of Defense \(DoD\)](#). FOSS is distinctive because it gives users the right to run, copy, distribute, study, change, and improve it as they see fit, without having to ask permission from or make fiscal payments to any external group or person. The autonomy properties of FOSS make it useful for DoD applications such as rapid responses to cyberattacks, for which slow, low-security external update processes are neither practical nor advisable, and for applications where rapid, open, and community-wide sharing of software components is desirable. On the other hand, the same autonomy properties complicate the interactions of FOSS with non-FOSS software, leading to concerns—some valid and some not—about how and where FOSS should be used in complex DoD systems.

The word *free* in FOSS refers not to fiscal cost, but to the autonomy rights that FOSS grants its users. (A better word for zero-cost software, which lacks such rights, is “freeware.”) The phrase [open source](#) emphasizes the right of users to study, change, and improve the source code—that is, the detailed design—of FOSS applications. Software that qualifies as free almost always also qualifies as open source, and vice versa, since both phrases derive from the same set of [software user rights](#) formulated in the late 1980s by Richard Stallman of the [Free Software Foundation](#).

The goals of the MITRE study were to develop as complete a listing of FOSS applications used in the DoD as possible, and to collect representative examples of how those applications are being used. Over a two-week period the survey identified a total of [115 FOSS applications and 251 examples of their use](#).

To help analyze the resulting data, the hypothetical question was posed of what would happen if FOSS software were banned in the DoD. Surprisingly, over the course of the analysis it was discovered that this hypothetical question has a real-world analog in the form of [proprietary licenses that if widely used would effectively ban most forms of FOSS](#). For the purpose of the analysis, the effects of the hypothetical ban were evaluated based on how FOSS is currently being used in survey examples. In the case of niche-dominating FOSS products such as [Sendmail](#) (ubiquitous for Internet email) and [GCC](#) (a similarly ubiquitous compiler), a large amplification factor must also be taken into account when estimating such impacts. The actual levels of DoD use of such ubiquitous applications is likely to be hundreds, thousands, or even tens of thousands of times larger than the number of examples identified in the brief survey.

The main conclusion of the analysis was that FOSS software plays a more critical role in the DoD than has generally been recognized. FOSS applications are most important in four broad areas: [Infrastructure Support](#), [Software Development](#), [Security](#), and [Research](#). One unexpected result was the degree to which Security depends on FOSS. Banning FOSS would remove certain types of infrastructure components (e.g., [OpenBSD](#)) that currently help support network security. It would also [limit DoD access to—and overall expertise in—the use of powerful FOSS analysis and detection applications](#) that hostile groups could use to help stage cyberattacks. Finally, it would remove the [demonstrated ability of FOSS applications to be updated rapidly in response to new types of cyberattack](#). Taken together, these factors imply that banning FOSS would have immediate, broad, and strongly negative impacts on the ability of many sensitive and security-focused DoD groups to defend against cyberattacks.

For [Infrastructure Support](#), the strong historical link between FOSS and the advent of the Internet means that removing FOSS applications would result in a strongly negative impact on the ability of the DoD to support web and Internet-based applications. [Software Development](#) would be hit especially hard for languages such as [Perl](#) that are direct outgrowths of the Internet, and would also suffer serious setbacks for development in traditional languages such as C and Ada. Finally, [Research](#) would be impacted by a large to very large increase in support costs, and by loss of the unique ability of FOSS to support sharing of research results in the form of executable software.

Neither the survey nor the analysis supports the premise that banning or seriously restricting FOSS would benefit DoD security or defensive capabilities. To the contrary, the combination of an ambiguous status and largely ungrounded fears that it cannot be used with other types of software are keeping FOSS from reaching optimal levels of use. MITRE therefore recommends that the DoD take three policy-level actions to help promote optimum DoD use of FOSS:

1. **Create a “Generally Recognized As Safe” FOSS list.** This list would provide quick official recognition of FOSS applications that are (a) commercially supported, (b) widely used, and (c) have proven track records of security and reliability—e.g., as measured by speed of closures of CERT reports in comparison to closed-source alternatives. Initial applications for consideration would include, but not be limited to, the set of 115 already-used applications identified by the survey in [Table 2](#), plus other widely used tools such as [Python](#) (<http://www.python.org/>) that did not appear in this first set of results. In formulating the list, quick consideration should be given in particular to high value, heavily used infrastructure and development tools such as [Linux](#), [OpenBSD](#), [NetBSD](#), [FreeBSD](#), [Samba](#), [Apache](#), [Perl](#), [GCC](#), [GNAT](#), [XFree86](#), [OpenSSH](#), [bind](#), and [sendmail](#).
2. **Develop Generic, Infrastructure, Development, Security, & Research Policies.** The DoD should develop generic policies both to promote broader and more effective use of FOSS, and to encourage the use of commercial products that work well with FOSS. A good example of the latter is the [Microsoft Windows Services for UNIX](#) product, which relies on FOSS ([GPL](#)) software to reduce development costs and dramatically increase its power. A second layer of customized policies should be created to deal with major use areas. For Infrastructure and Development, these policies should focus on enabling easier use of GRAS products such as Apache, Linux, and GCC that are already in wide use, but which often suffer from an ambiguous approval status. For Security, use of GPL within groups with well-defined security boundaries should be encouraged to promote faster, more locally autonomous responses to cyber threats. Finally, for Research the policies should encourage appropriate use of FOSS both to share and publish basic research, and to encourage faster commercial innovation.
3. **Encourage use of FOSS to promote product diversity.** FOSS applications tend to be much lower in cost than their proprietary equivalents, yet they often provide high levels of functionality with good user acceptance. This makes them good candidates to provide product diversity in both the acquisition and architecture of DoD systems. Acquisition diversity reduces the cost and security risks of being fully dependent on a single software product, while architectural diversity lowers the risk of catastrophic cyber attacks based on automated exploitation of specific features or flaws of very widely deployed products.

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Section 1. Introduction

1.1 Purpose

This report documents the results of a short email-mediated study by [The MITRE Corporation](http://www.mitre.org/)¹ on the use of free and open-source software (FOSS) in the [U.S. Department of Defense \(DoD\)](http://www.dod.mil/).² The goals of the MITRE study were to develop as complete a listing of FOSS applications used in the DoD as possible, and to collect representative examples of how those applications are being used. Over a two-week period the survey identified a total of [115 FOSS applications and 251 examples of their use](#) (Table 2).

1.2 Document Overview

This document is extensively linked both internally and to relevant external web sites. To use the links, simply click on the underlined words or phrases in the electronic version. The paper version of the document also shows the addresses of external as both footnotes and as references in Appendix E. Please note that certain links to locations in Appendix F will not work if you do not have a copy of the separate file that contains that appendix.

Section 1 (this section) provides background information on FOSS, an overview of how the survey was conducted, and a summary of results. Section 2 provides an analysis of the survey results, focusing on understanding the types of FOSS users identified in the survey. Finally, Section 3 provides three major recommendations, which can also be found in the Executive Summary at the very beginning of this document.

The survey data and data breakdowns are provided in the form of six appendices. Appendix A lists the full set of 115 FOSS applications identified in the survey, and breaks them down by application area. Appendix B provides descriptions of the individual FOSS applications, with links to the examples of use identified for each tool. Appendix C provides a detailed breakdown by application area of which FOSS licenses are used by the identified applications. Appendix D is a lengthy appendix that provides the full text of every license used by the identified tools, as well as additional related licenses and license information. Appendix E summarizes references from the document, which can also be found as linked footnotes throughout the document. Finally, Appendix F contains a Sensitive But Unclassified (SBU) table of all the example uses of FOSS found in the survey. It is contained in a separate file.

1.3 Background: Questions and Answers About FOSS

1.3.1 What is Free and Open-Source Software (FOSS)?

Free and open-source software (FOSS) is software that gives users the right to run, copy, distribute, study, change, and improve it as they see fit, without them having to ask permission from or make additional payments to any external group or person. The word *free* in FOSS refers

¹ <http://www.mitre.org/>

² <http://www.dod.mil/>

not to fiscal cost, but to the autonomy rights that FOSS grants its users. (A better word for zero-cost software, which lacks such rights, is “freeware.”) The phrase [*open source*](#)¹ emphasizes the right of users to study, change, and improve the source code—that is, the detailed design—of FOSS applications. Software that qualifies as free almost always also qualifies as open source, and vice versa, since both phrases derive from the same set of [*software user rights*](#)² formulated in the late 1980s by Richard Stallman of the [*Free Software Foundation*](#).³

1.3.2 What is GPL Software?

The [*General Public License \(GPL\)*](#)⁴ is the original FOSS license, and *GPL software* is simply FOSS software that is covered by the GPL. The GPL was developed in the late 1980s by Richard Stallman as a way to convert his concept a [*software user’s Bill of Rights*](#)⁵ into a legally meaningful way to share and develop software. Since all FOSS originates directly or indirectly from Stallman’s original set of software user rights, the GPL tends to be the most accurate representation of the underlying principles of FOSS development.

The implications of this close link between the GPL and the underlying principles of FOSS can be seen in its overwhelming dominance among FOSS products. For example, over half of the software in the popular Red Hat Linux operating system⁶ is licensed under the GPL, and sites that support FOSS projects typically report that over 70% of their projects use the GPL.⁷ The [*results of the survey done for this report*](#) also support the dominance of the GPL, with 52% of the 115 identified applications being licensed wholly or predominantly under the GPL, and the next most popular type of license ([*BSD*](#)) comprising a mere 6% of the total.

The most distinctive aspect of the GPL is its focus on the right of the software user to make autonomous decisions about how to use the software. GPL clauses ensure that individual users always retain the right to decide if, when, and how to use the software. For example, users always have the right to choose where and how to install GPL software, to analyze how it works, to change it, to decide if and when to release such changes, and even whether to sell original or modified GPL software at whatever price the market will bear. (Without the addition of distinguishing features or services, however, that market price will generally very low, since others can also sell or make copies of the same GPL software.) At no point in this process are GPL users required to ask for permission or guidance from outside entities or authorities, or to pay them additional fees, since the GPL itself provides all of the authorization required.

Another important and controversial Stallman innovation in the GPL was his use of *transitive user rights* to help ensure the rapid expansion of both the GPL user community and of the overall collection of GPL software. Transitive user rights mean that if anyone creates a new product that

¹ <http://www.opensource.org/docs/definition.php>

² <http://www.gnu.org/philosophy/free-sw.html>

³ <http://www.fsf.org/>

⁴ <http://www.gnu.org/licenses/gpl.html>

⁵ <http://www.gnu.org/philosophy/free-sw.html>

⁶ <http://www.dwheeler.com/sloc/>

⁷ <http://www.dwheeler.com/essays/gpl-compatible.html>

is based on the detailed design (source code) of an earlier GPL product, then they must provide any subsequent users of the new product with the same user rights that they had. In other words, the new work must also be placed under the GPL. Stallman realized that without this constraint the set of user rights provided by the GPL would evaporate over time as intermediate developers either neglected or explicitly chose not to convey the same level of autonomy to subsequent generations of users. Insisting on transitive user rights prevents this from happening, and ensures continued propagation of user rights. To balance the inclusive effect, however, Stallman made sure that it applied only when extensive, detailed use of the earlier GPL software was going on. It does not apply, for example, to those who are simply using (executing) GPL software, or to software that simply happens to exist on the same system as GPL software.

Stallman in effect postulated that if individual programmers were given the autonomy to use GPL fully, and that if such rights were always conveyed to all subsequent developers, the result would be explosive growth in both the number of participants and the capabilities of the resulting set of software. Stallman's implicit postulate was largely validated over the course of the 1990s by the subsequent emergence of the World Wide Web, whose software components used and depended more upon GPL than on any other type of license. The full implications of Stallman's work are yet to be seen, but via the Internet his principles have already had global consequences.

1.3.3 What is Open Source Software?

Open source software is FOSS that uses any license approved by the [Open Source Initiative \(OSI\)](http://www.opensource.org/)¹ in their convenient [list of open source licenses](http://www.opensource.org/licenses/).² The OSI list is based on [the open source definition](http://www.gnu.org/philosophy/free-sw.html),³ which in turn is heavily based on [Stallman's list of software user rights](http://www.gnu.org/licenses/gpl.html),⁴ but with the addition of several additional criteria intended to ensure fairness of the licenses. Both sets of criteria result in the selection of nearly identical sets of licenses, despite such differences.

1.3.4 Can FOSS Be Mixed with Proprietary Software?

A common assumption about FOSS licenses such as GPL is that their transitive user rights means they cannot be used with non-FOSS (e.g., government or proprietary) software. However, this is generally not the case; such mixing can generally be done in various ways. For example, even GPL with its strong protection of transitive user rights provides a number of mechanisms to allow such mixing ([Figure 1](#)). [Microsoft](http://www.microsoft.com/)⁵ provides a good example of an innovative use of one such mixing strategy in their [Windows Services for Unix \(SFU\)](http://www.microsoft.com/windows/sfu/)⁶ product. This product uses proprietary software to build an initial bridge between Windows and UNIX operating systems, and then adds in GPL tools and utilities to extend greatly its overall emulation of UNIX. Users benefit from the extended functionality provided by the GPL components, while Microsoft benefits by avoiding the cost and time of re-developing the tools as proprietary software.

¹ <http://www.opensource.org/>

² <http://www.opensource.org/licenses/>

³ <http://www.opensource.org/docs/definition.php>

⁴ <http://www.gnu.org/philosophy/free-sw.html>

⁵ <http://www.microsoft.com/>

⁶ <http://www.microsoft.com/windows/sfu/>

Figure 1. Strategies for Mixing GPL and Proprietary Software

- (a) **Distribution Mixing** – GPL and other software can be stored and transmitted together. Example: GPL software can be stored on the same computer disk as (most kinds of) proprietary software.
- (b) **Execution Mixing** – GPL and other software can run at the same time on the same computer or network. Example: GPL and (unrelated) proprietary applications can be running at the same time on a desktop PC.
- (c) **Application Mixing** – GPL can rely on other software to provide it with services, provided either that those services are either generic (e.g., operating system services) or have been explicitly exempted by the GPL software designer as non-GPL components. Examples include GPL applications running on proprietary operating systems or wrappers, and GPL applications that use proprietary components explicitly marked as non-GPL. Windows Services for UNIX 3.0 is a good example of commercial use of GPL application mixing.
- (d) **Service Mixing** – GPL can provide generic services to other software. These services must be genuinely generic in the sense that the applications that use them must not depend on the detailed design of the GPL software to work. An example is linking a GPL utility to a proprietary software component by using the Unix “pipe” mechanism, which allows one-way flow of data to move between software components. This is the tightest form of mixing possible with GPL and other types of software, but it must be used with care to ensure that the GPL software remains generic and is not tightly bound to any one proprietary software component.

Note: GPL does not permit mixing of licenses when new software is directly derived from GPL source code; such derived products must be licensed under GPL.

Since most FOSS licenses are similar in concept to the GPL, the mixing strategies listed in [Figure 1](#) generally apply to other FOSS licenses as well. However, novel licenses should always be checked for unusual qualifiers or constraints. A number of FOSS licenses such as [BSD](#) provide additional ways to mix software types, such as through constrained direct integration of binary software into proprietary software.

1.3.5 Can FOSS Be Sold Commercially?

All of the major FOSS licenses, including GPL, permit commercial sale of FOSS software and products. The catch, however, is that since anyone can sell or copy the same software as you, the prices for FOSS products tend to be very low in the absence of other distinguishing features or services. In the late 1990s companies such as [Red Hat](#)¹ and [VA Software](#)² began to develop ways to provide commercial services in support of FOSS software products such as the Linux operating system. In the FOSS business model, such companies benefit from reduced long-term costs of supporting a large, complex code base, but they must also compensate for their loss of product uniqueness by stressing customer services and various forms of innovation in terms of new products and services. From a business support perspective the availability of companies that directly support FOSS products provides much the same kind of product and support continuity that organizations expect from proprietary software products.

1.3.6 How do FOSS Licenses Compare to Each Other?

As of mid 2002, [nearly three dozen software licenses qualified as being open source](#),³ and thus FOSS, according to the [defining criteria](#)¹ of the [Open Source Initiative](#).² In practice, however,

¹ <http://www.redhat.com/>

² <http://www.vasoftware.com/>

³ <http://www.opensource.org/licenses/>

only a small number of these licenses are widely used. Furthermore, less frequently used licenses are often based on or closely similar to more commonly used licenses. [Table 1](#) summarizes a number of differences between four of the most important FOSS licenses. Additionally, the table includes the related concept of public domain software and an example of a proprietary software license that is notable for precluding the use of FOSS software.

Table 1. A Comparison of FOSS and Related Licenses

Property	License:	GPL	LGPL	BSD & MIT	Apache	Public Domain	Microsoft MIT ⁴ EULA
a. Can be stored on disk with other license types		✓	✓	✓	✓	✓	(bans FOSS) ⁵
b. Can be executed in parallel with other license types		✓	✓	✓	✓	✓	(bans FOSS) ⁵
c. Can be executed on top of other license types		✓	✓	✓	✓	✓	(bans FOSS) ⁵
d. Can be executed underneath other license types		✓ ¹	✓	✓	✓	✓	(bans FOSS) ⁵
e. Source can be integrated with other license types			✓	✓	✓	✓	(bans FOSS) ⁵
f. User decides if and when to publish derived code		✓ ²	✓	✓	✓	✓	✓
g. Software can be sold for a profit		✓	✓	✓	✓	✓	✓
h. Binary code can be replicated by users as desired		✓	✓	✓	✓	✓	
i. Binary code can be redistributed as desired		✓ ³	✓	✓	✓	✓	
j. Binary code can be used as desired by users		✓	✓	✓	✓	✓	
k. New users always receive source code of derived works		✓	✓ ⁶				
l. New users receive full source modification rights for derived works		✓	✓ ⁶				
m. New users receive full redistribution rights for derived works		✓	✓ ⁶				
n. Binary code can be released without source code				✓	✓	✓	✓
o. Derived code can have a different type of license			⁷			✓	
p. Original source can be incorporated into closed source products						✓	

¹ Provided that both programs are fully and independently usable in other unrelated contexts.

² Provided that the binary code has not been previously released to the public.

³ Provided that source code is always redistributed along with the binary code.

⁴ The proprietary Microsoft MIT EULA is not related to the similarly named MIT (X/MIT) license.

⁵ Specifically bans use of: GPL, LGPL, Artistic, Perl, Mozilla, Netscape, Sun Community, and Sun Industry Standards.

⁶ The rights granted by LGPL do not necessarily extend to the applications linked into an LGPL library.

⁷ The LGPL does permit re-licensing under GPL as a special case, but not re-licensing under any other license type.

License Acronyms:

GPL – GNU General Public License
 LGPL – GNU Lesser General Public License
 BSD – Berkeley Software Distribution
 MPL – Mozilla Public License

(Microsoft) MIT – Mobile Internet Toolkit
 (X/MIT) MIT – Massachusetts Institute of Technology
 EULA – End-User License Agreement
 FOSS – Free and Open-Source Software

Properties (a) through (e) in the table examine the ability of a license to co-exist with other types of software, e.g., the ability of FOSS licenses to co-exist with proprietary software. In this category, the most exclusive license is easily the [Microsoft MIT EULA license](#)³, which prohibits

¹ <http://www.opensource.org/docs/definition.php>

² <http://www.opensource.org/>

³ http://msdn.microsoft.com/downloads/eula_mit.htm

a number of FOSS licenses from co-existing on the same platform as the EULA software. No other FOSS or proprietary license encountered during the survey came close to this level of exclusivity. The GPL takes a very distant second place for exclusivity, since it forbids design-time incorporation of GPL source code into non-GPL source code. However, unlike the Microsoft MIT EULA, the GPL places no constraints on software simply running on the same system, and actually goes out of its way not to intrude on other licenses outside of that context. The GPL even allows non-GPL software to use GPL software as long as the two programs are not inextricably linked to each other (that is, they can both be used independently in other contexts). The GNU Lesser GPL (LGPL) is even more accommodating, allowing software to be directly incorporated into non-free software. The BSD and Apache license are still more accommodating by allowing distribution in binary form only. Finally, and not surprisingly, the most permissive category of all is public domain software, which allows essentially any use.

Properties (k) through (m) point out the flip side of the somewhat restrictive nature of the GPL: Its ability to ensure that later generations of users will inherit exactly the same rights to use, change, and redistribute GPL software as the first generation of users.

1.4 Overview of the DoD FOSS Survey

The data for the DoD FOSS survey was collected by email. The goal of the survey was to identify as complete a listing of the FOSS applications in use within the DoD as possible, and to document a diverse and representative set of examples of how these FOSS applications are being used. Over a two-week period the survey identified a total of 115 FOSS applications and 251 documented examples of how these applications are being used in the DoD. For purposes of completeness and comparison, a small number of cases were included in which the applications clearly do not meet FOSS criteria, but are related to FOSS in terms of availability of source code or use of FOSS-like processes for sharing work within limited communities. All such examples are noted as such, and should not be confused with applications that are unambiguously FOSS.

The set of 115 applications should include the majority of FOSS applications currently in use within the DoD, as judged by the increasing rate towards the end of the study at which new data points matched previously identified applications. The 251 examples of FOSS use are highly diverse both in terms of the DoD organizations represented and the types of applications. The set of examples likely includes most “big program” uses of FOSS, since explicit decisions to use FOSS in large programs generally led to multiple identifications of such programs in the survey responses. However, the examples clearly represent only the tip of an iceberg in terms the total number of facilities, operators, developers, researchers, and contractors using FOSS applications to support DoD work. For example, the GPL [GCC](#) compiler dominates C-language software development globally, and it has few competitors. This dominance makes it likely that the total instances of use of GCC by DoD software developers is hundreds or more likely thousands of times larger than the nine examples identified over the course of this short survey. The categories of FOSS applications that are most likely to have such large amplification factors are software development, web support, and network administration, which are all areas where FOSS applications are traditionally strong.

1.5 Survey Details

The detailed results of the survey are available in the form of a Sensitive But Unclassified (SBU) Appendix F. By placing this document and Appendix F in the same folder with the original filenames, Appendix F recipients can use hyperlinks from this document to access relevant data.

1.6 Analysis Approach

To help analyze the resulting data, the hypothetical question was posed of what would happen if FOSS software were banned in the DoD. Surprisingly, over the course of the analysis it was discovered that this hypothetical question has a real world analog in the form of [proprietary licenses that if widely used would effectively ban most forms of FOSS](#).¹ A corollary question is what the impact of banning the GPL alone would be, although many FOSS licenses are too much like GPL to make this distinction easy. The survey found that the [GPL sufficiently dominates in DoD applications](#) (Table 9) for a ban on GPL to closely approximate a full ban of all FOSS.

1.7 Summary of Results

The main conclusion of the analysis was that FOSS software plays a far more critical role in the DoD than has been generally recognized. The value of FOSS to the DoD appears to be greatest in four broad categories: [Infrastructure Support](#), [Software Development](#), [Security](#), and [Research](#).

1.7.1 Infrastructure Support

While commercial equivalents Infrastructure FOSS applications are generally available, banning FOSS products would nonetheless result in a significant short-term cost spike as low-cost FOSS networking and web applications are replaced purchased proprietary equivalents. Ironically, there is no evidence that such a conversion would result in performance benefits. Since much of the infrastructure of the Internet was created under the FOSS model, its infrastructure applications such as Apache are generally older, more functionally mature, and less likely to fail than much more recent proprietary equivalents.

1.7.2 Software Development

A FOSS ban would have an especially negative impact on DoD software development. Development projects that use FOSS versions of the [C](#) and [Ada](#) programming languages would face costly translations to proprietary compilers and run time support packages. For the latter case of Internet-based languages such as [Perl](#), recovery would be especially difficult since there are no immediately available commercial equivalents.

1.7.3 Security

One of the more unexpected results of the survey was the degree to which DoD security depends on FOSS applications and strategies. Banning FOSS in this area would have immediate, broad, and in some cases strongly negative impacts on the ability of the DoD to analyze and protect its

¹ http://msdn.microsoft.com/downloads/eula_mit.htm

own networks against hostile intrusion. This is in part because such a ban would prevent DoD groups from using the same analysis and network intrusion applications that hostile groups could use to stage cyberattacks. It would also remove the uniquely FOSS ability to change infrastructure source code rapidly in response to new modes of cyberattack. More interestingly, the GPL turns out to be surprisingly well suited to use in security environments because such environments include existing well-defined abilities to protect and control release of confidential information. This existing awareness largely removes the risk of premature release of GPL source code by developers, while maximizing the ability of those same developers to make effective use of the autonomy of decision provided by the GPL.

1.7.4 Research

DoD research would also be seriously damaged by a ban on FOSS. In this case, both cost and capabilities are important factors. Research efforts often use FOSS to extend limited budgets and allow them to focus more quickly on their research agendas. In terms of capabilities, FOSS provides resources such as mathematical software and the ability to link PCs into supercomputers for which there are no equivalent commercial alternatives. Finally, the FOSS method itself provides a form of “active publishing” that researchers use to share not just printed results, but software that can be immediately used to support further work.

Section 2. Analysis of FOSS Survey Results

2.1 Types of DoD FOSS Users

The survey showed that the majority of DoD FOSS users are simply using the software without modifying the source, and in most cases without even looking at it. Such users are unaffected by the FOSS licenses of those applications. However, there are also cases where a project may choose to use FOSS licenses, or where the implications of the licenses need to be understood. The main categories of DoD FOSS users identified in the survey are described below.

2.1.1 Operational Users

As anticipated, the majority of the users in the survey only used their applications operationally – that is, without looking at or using the source code for them. Examples include using Linux, Apache, OpenBSD, and a variety of security applications.

2.1.2 Scripting and Basic Code Development Users

This category was also large. It includes using language and scripting applications such as Perl, GCC, bash, and JBoss to write simple scripts and code packages. Perl in particular was the single most widely used FOSS application in the survey. In terms of licensing, this category is similar to operational except for one difference: any libraries of parts that are used should be checked to make sure that they do not use licenses (e.g., the GPL) that would inadvertently require the new software to be FOSS also.

2.1.3 Advanced Code Development Users

This is a much smaller category that mostly includes cases where large, complex library routines (e.g., scientific and parallel processing routines) need to be incorporated into new software. While it may be worth doing this kind of work under a FOSS model, such decisions should not be made accidentally, but should be decided ahead of time.

2.1.4 FOSS Sponsors

Finally, the smallest group of DoD projects consisted of those that had explicitly decided to use a FOSS model to promote non-DoD development work on their project. The two main examples of this in the survey are SELinux (Secure Linux), which is a FOSS effort sponsored by the NSA, and CVW (Collaborative Virtual Workspace), which was initially developed by The MITRE Corporation for DoD use. While small numerically, this category is interesting because it demonstrates examples of the DoD and its associates using a FOSS model to help promote software advances in a larger overall community.

2.2 Observations

Some of the more surprising results of the data are given below.

2.2.1 FOSS Software is Vital to DoD Information Security

The survey identified [44 examples](#) where organizations involved in DoD Security use FOSS software. The FOSS communities contribute to DoD security in two ways. Firstly, it has produced infrastructure software such as [OpenBSD](#) with low rates of software failure combined with early and rapid closure of security holes, which makes such systems useful as the security linchpins in broader security strategies. Secondly, the FOSS communities have had a long-term fascination with developing more and more sophisticated applications for identifying and analyzing security holes in networks and computers, resulting in FOSS products such as [SARA](#) and [Snort](#) that are invaluable to in-depth analyses of security risks.

The incentive for creating network analysis applications is different, but still deeply embedded in the psychology of FOSS development. In this case there is a strong competitive thread to FOSS developers that encourages them both to demonstrate flaws in the systems of others, while proving the reliability of their own systems. This gaming psychology tends to produce an “arms race” mentality in which both the strategies for analyzing weaknesses and the ability to defend against attacks are constantly improving.

Yet another important way in which FOSS contributes to security is by making it possible to change and fix security holes quickly in the face of new modes of cyberattack. This ability, which allows rapid response to new or innovative forms of cyberattack, is intrinsic to the FOSS approach and generally impractical in closed source products.

2.2.2 DoD Web Infrastructures Would Be Hit Hard

[Infrastructure was the single largest category of DoD use of FOSS applications](#) (see [Table 3](#)). This is in part because the Internet itself developed around a largely FOSS approach, with many of its most mature and widely used components (e.g., [Apache](#), [Sendmail](#), or [Qmail](#)) being FOSS. Consequently, it is difficult to construct an effective web or Intranet without relying on at least some minimal level of FOSS applications, as reflected by the large number of examples of FOSS infrastructure reliance identified by the survey. If rigorously enforced, a full ban on the use of FOSS web components within the DoD would result in at least a temporary shutdown of many or most of its web-based network and services. Even when commercial equivalents to FOSS web products are available, the relative immaturity of the commercial equivalents could increase risks for DoD infrastructures.

2.2.3 DoD Research Relies Heavily on FOSS for Synergy

For some components of the DoD research community, FOSS software acts as a sort of “active publication” medium in which important results are posted in the form of software and collectively improved by the entire community. This effect is especially strong in numeric processing and simulation, where FOSS products provides some of the best processing methods and software available anywhere. A ban on FOSS software here would both slow the exchange of ideas and make certain types of research (e.g., research based on supercomputer networks of low-cost PCs) impractical.

2.2.4 Cost Is Seldom the Only Reason for Choosing FOSS

More often than not, the strongest deciding factors for choosing FOSS products were capability and reliability, with cost being an important but secondary factor. In the small number of cases where groups chose to use FOSS software purely for cost reduction reasons, they were more likely to be [disappointed by issues such as incompatibility](#) with closed source systems that they were attempting to replace or complement.

2.2.5 Software Development Would Be Hit Hard

FOSS languages and applications such as [GCC](#) for the C language and [GNAT](#) for Ada have become so endemic in software development that a full, rigorously enforced ban on using FOSS could bring affected DoD software development projects to a halt. Such a ban would also remove a number of widely used program development applications such as [CVS](#) and [GDB](#). The impact of a ban would be even more severe for development in languages such as [Perl](#), which is a relatively recent language that has become an integral part of the Internet, and which is also widely to build “glue code” for integrating software applications. While commercial alternatives exist for older languages such as C and Ada, they are generally neither as mature or as portable across platforms as the FOSS equivalents. In the case of languages such as Perl that originated as FOSS, commercial alternatives do not exist, and applications would need to be translated into other languages.

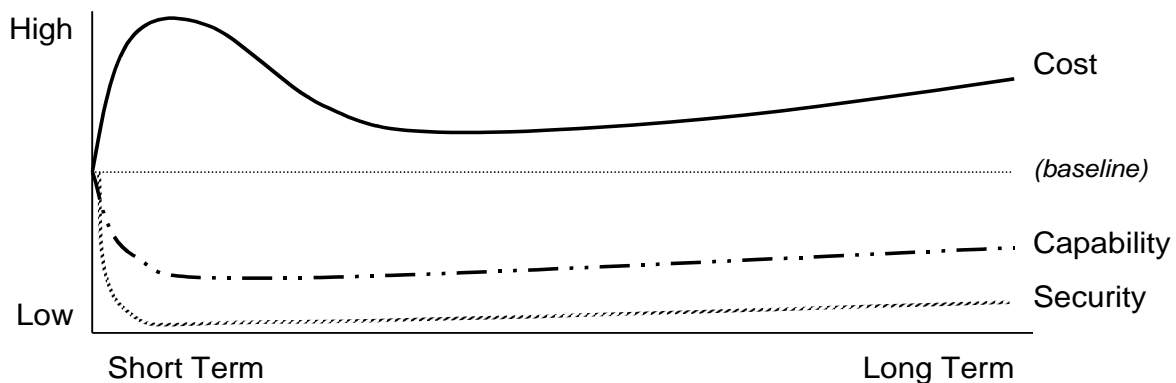
2.3 An Analysis of Approaches to DoD FOSS Policy

In this section, a number of possible approaches to DoD FOSS policy are described and briefly analyzed for their likely consequences.

2.3.1 Approach #1: Ban All DoD Use of FOSS

The implementation of a DoD policy that bans any use of FOSS products would likely have interesting (and largely negative) short-term and long-term impacts on DoD cost, reliability, and capability. [Figure 2](#) shows a notional estimate of such impacts on DoD FOSS users.

Figure 2. Likely Impacts on Users of Banning FOSS



The short-term impacts would be the most serious. These impacts reflect both that the DoD already makes significant use of FOSS applications, and that a number of FOSS capabilities (particularly in the areas of high-end computing, security, and Internet-oriented software development) and security) are not readily available from closed-source COTS products. Short-term impacts on security would be especially bad due to the need to replace reliability- and security-focused systems such as [OpenBSD](#) with COTS systems that often have [notable security and reliability issues](#).¹ Over the long term, however, security would probably gradually improve as the closed-source COTS vendors continue to fix bugs and security flaws that were already absent from the FOSS products that they replaced.

Costs would also take a significant short-term hit as the low-cost and no-cost FOSS components are replaced with purchased proprietary products. Overall costs would then likely come down during an interim period. However, in the long term removing FOSS would remove an important source of price and quality competition. Without the constant pressure of low-cost, high-quality FOSS product competing with the closed-source products, the closed-source vendors could more easily fall into a cycle in which their support costs balloon and costs are passed on to their locked-in customers.

Capability would be negatively affected in both the short and long term, especially for high-end scientific and research computing that would lose resources such as [libraries of high-quality mathematical software](#) and [support for high-end computing](#). Software development could become a difficult process, since the [GCC](#) family of compilers for C, C++, and other languages has become so prevalent that few similarly platform-independent alternatives exist. Development and support of Ada programs would be similarly affected, since the FOSS [GNAT](#) compiler dominates the Ada language in much the same way that GCC dominates C.

Ironically, a thoroughly rigorous and systematic ban on DoD use of FOSS could also affect a number of proprietary product that rely on FOSS products that permit incorporation of FOSS into their closed-source products. For example, Microsoft Office uses the FOSS *zlib* collection of data compression software, and thus could technically be banned as a product that incorporates FOSS software.

Finally, it should be noted simply using [GPL](#) software in combination with proprietary or closed-source government software does not have any affect the licensing of the non-GPL software. The GPL only requires that new source code that directly incorporates GPL software be made GPL, which is not the case for operational (e.g., infrastructure and security) use of GPL applications.

2.3.2 Approach #2: Limbo Status

At present, FOSS is neither approved nor disapproved in most parts of the DoD. This limbo status makes program, project, and developer decisions regarding FOSS difficult. Developers are often aware of the benefits of FOSS products for certain types of applications, but are unwilling to share that knowledge with their supervisors or commanding officers for fear that they will be told that they are using “unapproved” applications.

¹ <http://www.geek.com/news/geeknews/2001sep/gee20010926008034.htm>

This *de facto* limbo-status policy of the DoD towards FOSS is unfortunate, since based on the way in which FOSS are being used, it is likely that the DoD would benefit from more use of FOSS rather than less. For example, although the FOSS Apache web server is mature, capable, and has an superior track record as measured the number of security holes on public tracking sites such as [CERT](#), it is sometimes avoided on DoD sites simply because site administrators are unsure of its status. In such cases, a policy that explicitly permits the use of Apache would likely result in both improved overall reliability and lower costs for the DoD.

2.3.3 Approach #3: Selective FOSS Approvals

In this scenario, selected well-known and well-established FOSS products such as Apache, OpenBSD, GCC, GNAT, and Red Hat Linux would be selectively approved for DoD-wide use.

This approach would have immediate and largely beneficial effects, since many of these programs are already heavily in use in the DoD and have many users and supporters already in place. Approval would allow immediate broader use of such applications by users who for the most part will already be familiar with how to install and use them. Costs would drop in both the short and long term as more costly applications are replaced by FOSS products such as Apache that are almost universally considered to be higher quality. Reliability and security would also improve, given that several of these well-known products already have established track records in these areas. Finally, capabilities would improve as the capabilities of these systems are distributed to more and more sites, and in some cases used to upgrade older systems. For example, Linux can often be used to increase the reliability and performance of older systems that are not capable of upgrading to new, much heavier-weight versions of Windows.

The main disadvantage of this approach would be that the selective approval process would likely overlook many of the smaller but highly important niche uses of FOSS, such as some of the security and numeric processing applications.

2.3.4 Approach #4: Security, Infrastructure, Research, and Development

This approach would provide DoD approval for using FOSS products in four general areas: Infrastructure Support, Software Development, Security, and Research. Rather than providing a fixed list, this approach would provide broad guidelines for selecting FOSS products in each of the areas, as well as specific lists of pre-approved products.

For [Infrastructure Support](#), users would be able to select widely used and commercially supported FOSS applications such as [Linux](#), [Apache](#), [OpenBSD](#), and [other applications](#) related to supporting the information infrastructure of an enterprise. A list of recommendations would be provided, but would not be exclusive. Groups would be able to choose other Infrastructure FOSS products if they meet the overall criteria for acceptable Infrastructure FOSS products. This category would never involve any kind of software development, and so would be unaffected by the special licenses of FOSS.

For [Software Development](#), relevant FOSS applications such as [Perl](#), [CVS](#), [GCC](#), [GNAT](#), [JBoss](#), [Emacs](#), and others would be listed explicitly, and others allowed if they meet overall criteria for such applications. In contrast to Infrastructure and Security, users would be required to know and

understand the particulars of the FOSS licenses of their applications, so that they are away of areas that could invoke FOSS licenses. For example, the [LGPL](#) license used with the C libraries of the GCC compiler does not involve FOSS licenses for any software developed, but there are other examples of C libraries that use [GPL](#) licenses that would affect software that uses them. Users of Development FOSS products should be aware in particular of the status of any library software that they use. Invoking a FOSS license could be done intentionally, such as to make better use of a community of like-minded developers outside of a government organization, but it should never be invoked accidentally (e.g., by not checking to see whether a library of components is under the LGPL or GPL).

An example of an area where explicit FOSS development policies would be useful is in the selection and use of FOSS software libraries. This need to be selected with some care, since for example libraries that use the GPL may require that software developed using those routines be GPL also. The [GNU Scientific Library \(GSL\)](#),¹ for example, contains many useful scientific routines, but was not used by any of the respondents. One respondent indicated that he had specifically avoided the GSL because of its use of GPL. While choosing to use GPL libraries may be appropriate if the goal is to contribute new features to a broader community, such libraries may be conversely be inappropriate when such release is not the desired goal.

For [Security](#), users would similarly have a list of known, recognized products to use for non-development applications, plus guidelines for selecting other products. Guidelines for selecting Security FOSS products would be more stringent than for Infrastructure, since many security-related FOSS products could damage a system or network if used improperly.

Approval for [Research](#) use of FOSS would be similar to that for Development, but with more emphasis and leeway for sharing results and contributing to a community of developers. As with Development, though, software should not be made FOSS accidentally, but only by an explicit (and approved) decision to do so.

2.3.5 Approach #5: Advocating FOSS Products

There is a point of diminishing returns in all things, and in the case of FOSS, trying to force people to use FOSS products when it is not their own choice is likely well past that point. This is especially true since many of the highest quality FOSS products seem to show up in areas such as infrastructure, security, development, and research. All of these areas share the feature that they include people who are interested in pushing the limits of what they can do with a system or software, rather than simply using the software operationally. In contrast, desktop applications have tended to stay more stubbornly in the realm of closed-source COTS, at least for now.

In short, FOSS seems to work best when people come to it, and not vice-versa. In the study, one of the small number of negative reactions to using a FOSS product (GCC) came as a result of force-fitting it into a situation where compatibility with a closed source compiler was more important than the low cost of the GCC compiler. Anecdotal evidence tends to confirm the idea that [using FOSS products only to “save money” is not necessarily a good idea](#), especially if the

¹ <http://www.gnu.org/software/gsl/>

fit to the problem is not that good. Such products are best chosen because they have features that are desirable for how they will be used.

2.4 Conclusions

Based on the above analysis, the FOSS policy approach that appears most likely to benefit the DoD would be a combination of the third (selective approvals) and fourth (security, infrastructure, research, and development based) approaches. The resulting recommendation is summarized in the next and final section of this report.

Section 3. Recommendations

Neither the survey nor the analysis supports the premise that banning or seriously restricting FOSS would benefit DoD security or defensive capabilities. To the contrary, the combination of an ambiguous status and largely ungrounded fears that it cannot be used with other types of software are keeping FOSS from reaching optimal levels of use. MITRE therefore recommends that the DoD take three policy-level actions to help promote optimum DoD use of FOSS:

1. **Create a “Generally Recognized As Safe” FOSS list.** This list would provide quick official recognition of FOSS applications that are (a) commercially supported, (b) widely used, and (c) have proven track records of security and reliability—e.g., as measured by speed of closures of CERT reports in comparison to closed-source alternatives. Initial applications for consideration would include, but not be limited to, the set of 115 already-used applications identified by the survey in [Table 2](#), plus other widely used tools such as [Python](#) (<http://www.python.org/>) that did not appear in this first set of results. In formulating the list, quick consideration should be given in particular to high value, heavily used infrastructure and development tools such as [Linux](#), [OpenBSD](#), [NetBSD](#), [FreeBSD](#), [Samba](#), [Apache](#), [Perl](#), [GCC](#), [GNAT](#), [XFree86](#), [OpenSSH](#), [bind](#), and [sendmail](#).
2. **Develop Generic, Infrastructure, Development, Security, & Research Policies.** The DoD should develop generic policies both to promote broader and more effective use of FOSS, and to encourage the use of commercial products that work well with FOSS. A good example of the latter is the [Microsoft Windows Services for UNIX](#) product, which relies on FOSS ([GPL](#)) software to reduce development costs and dramatically increase its power. A second layer of customized policies should be created to deal with major use areas. For Infrastructure and Development, these policies should focus on enabling easier use of GRAS products such as Apache, Linux, and GCC that are already in wide use, but which often suffer from an ambiguous approval status. For Security, use of GPL within groups with well-defined security boundaries should be encouraged to promote faster, more locally autonomous responses to cyber threats. Finally, for Research the policies should encourage appropriate use of FOSS both to share and publish basic research, and to encourage faster commercial innovation.
3. **Encourage use of FOSS to promote product diversity.** FOSS applications tend to be much lower in cost than their proprietary equivalents, yet they often provide high levels of functionality with good user acceptance. This makes them good candidates to provide product diversity in both the acquisition and architecture of DoD systems. Acquisition diversity reduces the cost and security risks of being fully dependent on a single software product, while architectural diversity lowers the risk of catastrophic cyber attacks based on automated exploitation of specific features or flaws of very widely deployed products.

Appendix A. Lists of Applications

A.1 Full List of FOSS Applications Used in the U.S. DoD

[Table 2](#) lists the 115 FOSS applications identified by the survey. Note if you are looking at the electronic version of this document, you can use [Table 2](#) as a quick index into the list of application descriptions ([Table 7](#)) by clicking on the name of an application.

Table 2. Quick List of FOSS Software Used in the U.S. DoD

A	ACE	ACE ORB (TAO)	ACID	AMANDA	Apache	Autoconf	Automake
B	bash	Bastille	BIND				
C	C++ Boost	CIS Benchmarks	Colt	Condor	COPS	Crack	CVS
	CVW	Cygwin					
D	DDD	DjVuLibre					
E	EADSIM	Emacs	eTrust	Expect			
F	FreeBSD						
G	GateD	gawk (awk)	GCC	GDB	Ghostscript	GNAT	GnuPG
	gnuplot	grep					
H	h2n	HOSTS					
I	ImageMagick						
J	JADE	Jakarta	Jaxen	JBoss	JDOM	Jikes	jSIP
K	Kaffe						
L	LaTeX	Linux	Linux (Red Hat)	Linux firewalls	Lsof		
M	m4	Majordomo	make	Maxima	MIMESweeper	MRTG	MTR
	MySQL						
N	Nessus	NetBSD	NetSaint	nload	Nmap	ntop	NTP
O	Octave	OpenBSD	OpenMap	OpenOffice	OpenSSH	OpenSSL	
P	Perl	Perl CGI scripts	PerLDAP	PHP	PingScan	Procmail	
Q	Qmail						
R	R	RealSecure	RRDtool	RTLlinux	RWhois	RXVT	
S	Samba	SARA	SATAN	Saxon	SCA	sed	SELinux
	Sendmail	SNARE	Snort	Squid			
T	Tcl/Tk	TCP Wrappers	Tomcat	Top	Tripwire		
U							
V	VisAD	VOCAL	VTK				
W	Webmin	WebTAS	Weka	WU-FTPD			
X	Xalan	Xerces	XFree86	XGobi	Xpatch		
Y							
Z	zlib	Zope					

A.2 Breakdown by Use

In this section, the 115 applications of the survey results were regrouped based on the (often multiple) ways in which they are being used. The result was four overlapping sets: Infrastructure Support (65 applications), Software Development (53 applications), Security (44 applications), and Research (21 applications).

A.2.1 Infrastructure Support Applications

[Table 3](#) lists the 65 FOSS applications used in DoD infrastructure support. For online users of this document, this table is linked into the application description table ([Table 7](#)) and can be used as an index for perusing security-related FOSS applications. To return to this table instead of the main index after reading about an application, hold down Alt and press the left arrow key.

Note that although Security can be viewed as an aspect of Infrastructure Support, applications that were used only for security are listed separately in [Table 5](#), rather than in this table. Some applications (e.g., NetSaint) are listed in both tables since they can support both ordinary network administration and security-oriented activities.

Table 3. Infrastructure Support Applications

A	ACE	ACE ORB (TAO)	AMANDA	Apache
B	BIND			
C	Condor	CVW	Cygwin	
E	EADSIM	Emacs		
F	FreeBSD			
G	GateD	gawk (awk)	Ghostscript	GnuPG grep
H	h2n			
I	ImageMagick			
J	Jaxen	JBoss	JDOM	
L	LaTeX	Linux	Linux (Red Hat)	Linux firewalls Lsof
M	Majordomo	MRTG	MTR	MySQL
N	NetSaint	nload	Nmap	ntop NTP
O	OpenBSD	OpenMap	OpenOffice	OpenSSH OpenSSL
P	Perl	Perl CGI scripts	PerLDAP	PHP PingScan Procmal
Q	Qmail			
R	RRDtool	RTLlinux	RWhois	
S	Samba	SCA	sed	SELinux Sendmail Squid
T	Tomcat	Top		
W	Webmin	WU-FTPD		
X	Xalan	Xerces	XFree86	
Z	zlib	Zope		

A.2.2 Software Development Applications

[Table 4](#) lists the 53 FOSS applications used in DoD software development. For online users of this document, this table is linked into the application description table ([Table 7](#)) and can be used as an index for perusing security-related FOSS applications. To return to this table instead of the main index after reading about an application, hold down Alt and press the left arrow key.

Table 4. Software Development Applications

A	ACE	ACE ORB (TAO)	Autoconf	Automake			
B	bash						
C	C++ Boost	CVS	Cygwin				
D	DDD	DjVuLibre					
E	Emacs	Expect					
F	FreeBSD						
G	GateD	GCC	GDB	GNAT	GnuPG		
H	h2n						
I	ImageMagick						
J	JADE	Jakarta	Jaxen	JBoss	JDOM	Jikes	jSIP
K	Kaffe						
L	LaTeX	Linux					
M	m4	make	MySQL				
N	NetBSD						
O	OpenMap	OpenSSH					
P	Perl	PHP					
R	RTLlinux	RXVT					
S	Saxon	SCA					
T	Tcl/Tk	Tomcat					
V	VOCAL	VTK					
W	WU-FTPD						
X	Xalan	Xerces	XFree86				
Z	zlib	Zope					

A.2.3 Security Applications

[Table 5](#) lists the 44 FOSS applications used in DoD security applications. For online users of this document, this table is linked into the application description table ([Table 7](#)) and can be used as an index for perusing security-related FOSS applications. To return to this table instead of the main index after reading about an application, hold down Alt and press the left arrow key.

Table 5. Security Applications

A	ACID					
B	Bastille					
C	CIS Benchmarks	COPS	Crack			
E	eTrust	Expect				
F	FreeBSD					
G	GCC	GnuPG				
H	HOSTS					
L	Linux	Linux (Red Hat)	Linux firewalls	Lsof		
M	MIMESweeper	MRTG	MTR	MySQL		
N	Nessus	NetSaint	nload	Nmap	ntop	
O	OpenBSD	OpenSSH	OpenSSL			
P	Perl	Perl CGI scripts	PerlLDAP	PHP		
Q	Qmail					
R	RealSecure	RRDtool				
S	SARA	SATAN	SELinux	SNARE	Snort	Squid
T	Tcl/Tk	TCP Wrappers	Tripwire			
W	Webmin					

A.2.4 Research Applications

[Table 6](#) lists the 21 FOSS applications used in DoD research. For online users of this document, this table is linked into the application description table ([Table 7](#)) and can be used as an index for perusing security-related FOSS applications. To return to this table instead of the main index after reading about an application, hold down Alt and press the left arrow key.

Table 6. Research Applications

A	ACE ORB (TAO)		
C	Colt	Condor	CVW
E	EADSIM		
G	GateD	gnuplot	
J	JADE	Jikes	jSIP
L	Linux		
M	Maxima		
O	Octave		
R	R		
S	SCA		
V	VisAD	VOCAL	VTK
W	Weka		
X	XGobi	Xpatch	

Appendix B. Application Descriptions

B.1 Application Descriptions

[Table 7](#) describes the applications, provides references for finding them on the Internet, and lists the 251 identified instances of DoD use of the applications, including email contact points for each instance. The applications are organized alphabetically by FOSS application. The application descriptions include links back to the main index table ([Table 2](#)) to make rapid browsing easier. The information in this table was last updated on August 7, 2002.

Table 7. FOSS Software Used in the U.S. DoD

Application	Description	License	References
ACE (1 example)	ACE = ADAPTIVE Communication Environment. ACE is a toolkit for creating software to perform common cross-platform network communication tasks. ACE helps create software for demultiplexing, event handler dispatching, signal handling, service initialization, interprocess communication, message routing, dynamic reconfiguration of distributed services, shared memory management, concurrent execution, and process synchronization. The TAO real-time CORBA ORB is a major component of ACE.	ACE/TAO	http://www.cs.wustl.edu/~schmidt/ACE-overview.html http://www.riverace.com/ http://www.theaceorb.com/product/aboutace.html
ACE ORB (TAO) (3 examples)	TAO is a standards-based (CORBA) "Object Request Broker" (ORB) that allows programs located on many networked computers to work together securely and in real-time.	ACE/TAO	http://www.cs.wustl.edu/~schmidt/TAO.html http://www.theaceorb.com/
ACID (1 example)	ACID = Analysis Console for Intrusion Databases. ACID is a PHP-based analysis engine used to search and process databases of security events generated by various intrusion detection systems, firewalls, and network monitoring tools.	GPL	http://www.cert.org/kb/acid/
AMANDA (1 example)	AMANDA = Advanced Maryland Automatic Network Disk Archiver. AMANDA allows a single master backup server to back up large sets of workstations running multiple versions of Unix. AMANDA can also use SAMBA to back up Microsoft Windows 95/NT systems.	BSD	http://www.amanda.org/
Apache (22 examples)	A web server is the software that presents web pages to Internet users. Apache is easily the most popular and widely used web server (open or closed source) on the Internet. It is popular for its reliability, security, range of features, and low cost.	Apache	http://www.apache.org/
Autoconf (1 example)	Autoconf adapts software source code to many kinds of Unix-like systems without manual user intervention.	GPL	http://www.gnu.org/software/autconf/
Automake (1 example)	For software development, Automake generates Makefiles that are compliant with GNU coding standards.	GPL	http://sources.redhat.com/automake/
bash (2 examples)	The default command line interface for Linux. It is used both to create scripts (high level programs), and to interact directly with the operating system.	GPL	http://www.gnu.org/software/bash/bash.html

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Application	Description	License	References
Bastille (1 example)	The Bastille Hardening System is a package of adjunct software that can be used to "harden" the Linux operating system. The goal of Bastille is to provide the greatest possible security while keeping the system easy to use. Bastille currently supports the Red Hat and Mandrake Linux distributions, and in late 2002 to early 2003 is also expected to support the Debian, SuSE, and TurboLinux distributions of Linux. Support for the proprietary HP-UX operating system is also planned.	GPL	http://www.bastille-linux.org/ http://sourceforge.net/projects/bastille-linux/
BIND (3 examples)	BIND = Berkeley Internet Name Domain. It is BIND that allows easy-to-use URL text names (e.g., place.com) to be used to identify web sites, instead of the long numeric addresses that the Internet itself uses. Nearly all systems and commercial software that connect to the Internet use BIND.	ISC	http://www.isc.org/products/BIND/
C++ Boost (1 example)	C++ Boost is a web site that provides a broad range of free, portable, high-quality, peer-reviewed C++ source libraries. The site emphasizes compatibility with the C++ Standard Library, and holds many candidates for eventual inclusion in than library.	C++ Boost	http://www.boost.org/
CIS Benchmarks (1 example)	CIS = Center for Internet Security. The CIS Benchmarks are a set of documents that specify in detail how to configure common operating systems for maximum security. An associated collection of freeware Scoring Tools provide automated checks of how closely a given system comes to meeting the Benchmark specifications. The Benchmarks documents are developed and maintained using an easily-to-join community-style (limited FOSS) development process. However, the associated scoring tools are zero-cost freeware, not FOSS, and are provided in binary form only (no source code). (See the HOSTS tool for a FOSS analog to the CIS Scoring Tools.)	Community (CIS)	http://www.cisecurity.org/
Colt (1 example)	Colt is a free collection of high-quality scientific and mathematical software written in Java. It includes software for efficient data structures, data analysis, linear algebra, multi-dimensional arrays, histoprogramming, Monte Carlo simulation, and parallel and concurrent programming. Colt serves as a constantly evolving repository for some of the best concepts and designs for such software.	Colt	http://tilde-hoschek.home.cern.ch/~hoschek/colt/
Condor (1 example)	Condor is a computing environment that allows scientists and engineers to harness the capacity of large collections of distributed Unix systems (workstations and PCs running Linux or BSD) to solve processing-intensive problems. Future versions may also work with Windows.	GPL	http://www.cs.wisc.edu/condor/
COPS (1 example)	COPS = Computer Oracle and Password System. COPS analyzes Unix-like systems for weaknesses.	GPL	http://www.tripwire.org/qanda/faq.php
Crack (3 examples)	Crack is used by network admins to verify the quality of user passwords by attempting to break or "crack" those passwords.	Artistic	http://www.uazone.org/demch/analysis/sec-inchtools.html#5.2

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Application	Description	License	References
CVS (3 examples)	CVS = Concurrent Versions System. CVS is a popular system for helping software development projects keep track of the history and any multiple versions of the source code they develop. CVS can be used in a wide range of project sizes.	GPL	http://www.cvshome.org/
CVW (3 examples)	Originally developed by MITRE and later released as FOSS. Used by over 5000 people for collaboration. Currently being phased out at NSA in favor of the commercial InfoWorkSpace product from ezenia!, as per directions by Congress and the DoD. The InfoWorkSpace product includes elements of the CVW design.	MITRE	http://cvw.sourceforge.net/
Cygwin (2 examples)	A surprisingly complete Linux-like emulation of Unix and the Unix tool set for use on Windows systems. Cygwin provides access to useful Unix tools and capabilities without requiring users to restart their systems or go to another computer.	GPL	http://www.cygwin.com/
DDD (1 example)	GNU DDD is a graphical front-end for debugging tools. It is noted for its ability to display debugging data in a convenient chart format.	GPL	http://www.gnu.org/software/ddd/
DjVuLibre (1 example)	DjVuLibre provides efficient distribution and display of images in a variety of compressed formats.	GPL	http://djvu.sourceforge.net/
EADSIM (1 example)	EADSIM = Extended Air Defense Simulation. Combat developers, materiel developers, and operational commanders use EADSIM simulations to assess the effectiveness of Theater Missile Defense (TMD) and air defense systems against a full spectrum of extended air defense threats. It is provided without charge under a restricted community (versus FOSS) license.	Community (EADSIM)	http://www.eadsim.com/EADSIMBrouchure.html
Emacs (6 examples)	A full-functioned and popular editing tool that is especially useful for creating software. There are multiple "families" of Emacs, such as GNU Emacs and X-Emacs, for use in different environments. Emacs also supports language-specific extensions that are widely used for development in languages such as Java and C.	GPL	http://www.gnu.org/software/emacs/emacs.html
eTrust (1 example)	eTrust is a closed source network security evaluation and monitoring tool with FOSS (OpenSSL toolkit) origins.	Closed from open (eTrust)	http://www3.ca.com/Solutions/Solution.asp?ID=271
Expect (1 example)	Expect is a system admin and user tool for automating and testing interactive Unix applications such as telnet, ftp, passwd, fsck, and rlogin. It can be used to greatly simplify and automate tasks that would be prohibitively time consuming and costly if done interactively by people.	Public Domain (Expect)	http://expect.nist.gov/
FreeBSD (3 examples)	The Unix-like operating BSD operating systems are FOSS competitors to Linux, and are notable for having generally higher levels of reliability and security. OpenBSD, NetBSD, and FreeBSD are best known. FreeBSD is notable for being highly efficient when used on PC (Pentium) computers.	BSD	http://www.freebsd.org/ http://www.daemonnews.org/199904/editorial.html http://www.openbsd.org/ http://www.netbsd.org/
GateD (1 example)	GateD provides network routing services, a routing database, and support for a variety of routing protocols.	Closed from open (GateD)	http://www.nexthop.com/products/gated.shtml (current closed version) http://www.merit.edu/internet/net-research/idrp/mitre/doc/gated_doc/main.html (earlier FOSS version)

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Application	Description	License	References
gawk (awk) (2 examples)	gawk = GNU awk (Aho, Weinberger, Kernighan - the authors of awk). Gawk is the GNU version of the awk file transformation language. Awk is an interpreted C-like language with strong pattern matching and capabilities, making it useful for writing quick programs to make minor transformations on files. For larger or more frequently used file transformations, Perl is usually a better choice than gawk, since the more recent Perl provides similar capabilities plus a number of advanced features.	GPL	http://www.gnu.org/software/gawk/gawk.html
GCC (9 examples)	GCC = GNU Compiler Collection (formerly GNU C Compiler). GCC is a suite of compilers that includes C, C++, Objective C, Chill, Fortran, Java, and (in the next release) GNAT Ada. The original GNU C compiler dominates the C software development market.	GPL	http://gcc.gnu.org/
GDB (1 example)	GDB = GNU Project Debugger. GDB, the GNU Project debugger, allows you to see what is going on inside another program while it executes, or what another program was doing at the moment it crashed. GDB can be used to start your program with any options you want, stop your program when specified conditions occur, examine the state of your program after stopping it, and change your program temporarily to examine the effects of possible fixes.	GPL	http://sources.redhat.com/gdb/
Ghostscript (2 examples)	Ghostscript, along with its associated graphical interface tools Ghostview and GSview, provides viewing of postscript and PDF documents.	AFPL	http://www.cs.wisc.edu/~ghost/
GNAT (9 examples)	GNAT is a FOSS implementation of Ada 95. Commercial versions of GNAT (GNAT Pro Ada 95) and support are provided by Ada Core Technologies, and fully FOSS (GPL) versions are also available. A GNAT Ada front-end will also be added to GCC in GCC 3.1.	GPL	http://www.gnat.com/ ftp://cs.nyu.edu/pub/gnat/ http://gcc.gnu.org/
GnuPG (1 example)	GnuPG stands for GNU Privacy Guard and is GNU's tool for secure communication and data storage. GnuPG is a complete and free replacement for PGP. It can be used to encrypt data and to create digital signatures, and it includes an advanced key management facility. Because it does not use the patented IDEA algorithm, it can be used without any restrictions. GnuPG is a RFC2440 (OpenPGP) compliant application, providing compatibility with PGP from NAI Inc.	GPL	http://www.gnupg.org/
gnuplot (3 examples)	gnuplot is a command-driven interactive function plotting program that can be used to plot functions and data points in two or three dimensions and many different formats. It is free, but not GPL, despite its name.	Gnuplot	http://www.gnuplot.info/
grep (1 example)	GNU grep can be used to search text files or text streams for lines that match simple or complex patterns.	GPL	http://www.gnu.org/software/grep/grep.html
h2n (1 example)	h2n converts a table of host computers into a form usable as Internet (DNS) names.	GPL	http://www.crihan.fr/system/linux/maint/bind/old/h2n-man.html
HOSTS (1 example)	HOSTS = Host-Oriented Security Test Suite. Provides greater consistency and repeatability in security testing of Unix and Unix-like operating systems by automating many aspects of the testing process.	GPL	http://www.openchannelfoundation.org/projects/HOSTS

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ImageMagick (1 example)	ImageMagick provides display and conversion of images in about 70 major formats.	ImageMagick	http://www.imagemagick.org/
JADE (1 example)	JADE = Java Agent DEvelopment framework. JADE provides Java middleware for creating "multi-agent" software that on multiple networked machines. JADE implements FIPA agent communication standards.	LGPL	http://sharon.cselt.it/projects/jade/
Jakarta (1 example)	Jakarta is a web site that provides FOSS Java solutions for a wide range of applications and problems.	Apache	http://jakarta.apache.org/
Jaxen (1 example)	Jaxen = Java XPath Engine. Jaxen is a Java and XML development tool that interprets XPath expressions for multiple XML models, including DOM, dom4j, EXML, and JDOM.	Apache	http://jaxen.org/
JBoss (1 example)	JBoss is a J2EE-compliant web application server that provides middleware capabilities (EJB and JMS), database connectivity (JDBC), transactions (JTA/JTS), presentation (servlets and Java Server Pages), and directory services (JNDI). In March 2002, Sun Microsystems expressed stronger support for getting the popular JBoss package Java certified.	LGPL	http://jboss.org/
JDOM (1 example)	JDOM provides a fast, easy-to-read way to represent XML documents in Java. (JDOM is a name, not an acronym.)	GPL	http://www.jdom.org/
Jikes (1 example)	Jikes is a FOSS compiler for Java.	IPL	http://oss.software.ibm.com/devel/operworks/opensource/jikes/
jSIP (1 example)	jSIP = Java Session Initiation Protocol. The jSIP library provides text-based collaboration by users, including Instant Messaging.	GPL	http://jsip.sourceforge.net/
Kaffe (1 example)	Kaffe is a FOSS implementation of the Java Virtual Machine (JVM), which is the software that interprets Java software. (Sun JVMs are free but not FOSS.)	GPL	http://www.kaffe.org/
LaTeX (2 examples)	LaTeX (pronounced "lay-tek") is a high-quality typesetting system, with features designed for the production of technical and scientific documentation. It is the <i>de facto</i> standard for writing and publishing scientific documents.	LaTeX	http://www.latex-project.org/
Linux (19 examples)	Linux is a popular Unix-like FOSS operating system. It contains hundreds of individual tools, and has more commercial and applications support than any other FOSS operating system.	GPL	http://www.linux.org/
Linux (Red Hat) (3 examples)	Red Hat is the most popular commercial source for the Linux operating system.	GPL	http://www.redhat.com/
Linux firewalls (1 example)	Linux provides a variety of tools for creating firewalls.	GPL	http://www.linuxjournal.com/article.php?sid=1212 http://www.linuxdoc.org/HOWTO/Firewall-HOWTO.html
Lsof (1 example)	Lsof = List Open Files. Lsof lists any currently open files or process communications.	Lsof	http://freshmeat.net/projects/lsof/
m4 (1 example)	GNU m4 is a "macro expander" that can be used to create large sets of source code (such as web pages) with a shared format or visual look and feel.	GPL	http://www.gnu.org/software/m4/
Majordomo (1 example)	Majordomo automates management of Internet mailing lists. Once a list is set up, nearly all operations can be performed remotely by email. A graphical user interface called MajorCool is also available.	GPL	http://www.greatcircle.com/majordomo/

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Application	Description	License	References
make (2 examples)	The GNU make utility automatically determines which pieces of a large program need to be recompiled, and issues the commands to recompile them.	GPL	http://www.gnu.org/manual/make-3.79.1/html_node/make_toc.html
Maxima (1 example)	Maxima is a Common Lisp implementation of MIT's Macsyma system for computer based algebra.	GPL	http://www.ma.utexas.edu/users/wfs/maxima.html
MIMESweeper (1 example)	MIMESweeper is a closed source product with FOSS origins. It looks for suspicious patterns in the actual content of emails and communications to help identify suspicious activities.	Closed from open (MIMESweeper)	http://www.mimesweeper.com/default.asp
MRTG (3 examples)	MRTG = Multi Router Traffic Grapher. MRTG provides monitoring of traffic load on network links, and shows the live status graphically using HTML images that can be viewed over the Internet.	GPL	http://people.ee.ethz.ch/~oetiker/webtools/mrtg/mrtg.html
MTR (1 example)	MTR determines whether a network computer is available, and the overall quality of the link to it.	GPL	http://www.bitwizard.nl/mtr/
MySQL (4 example)	MySQL is the world's most popular FOSS database. It is fast, full-functioned, and precise enough to be used in both heavy load and mission critical applications.	GPL	http://www.mysql.com/
Nessus (3 examples)	An easy-to-use, full-functioned, and up-to-date remote security scanner.	GPL	http://www.nessus.org/
NetBSD (1 example)	The Unix-like operating BSD operating systems are FOSS competitors to Linux, and are notable for having generally higher levels of reliability and security. OpenBSD, NetBSD, and FreeBSD are best known. NetBSD is notable for being highly portable across a wide range of computer platforms.	BSD	http://www.netbsd.org/ http://www.daemonnews.org/1999/04/editorial.html http://www.openbsd.org/ http://www.freebsd.org/
NetSaint (1 example)	NetSaint monitors network Linux hosts services and can alert administrators of problems via email when a problem arises.	GPL	http://www.netsaint.org/
nload (1 example)	nload monitors and graphically displays real-time network traffic and usage.	GPL	http://www.roland-riegel.de/nload/index_en.html
Nmap (2 examples)	Nmap scans networks and maps out their configurations.	GPL	http://www.insecure.org/nmap/
ntop (2 examples)	ntop is a Unix tool that shows the heaviest users of network resources in ranked order, making it easy to see hot spots or anomalous usage.	GPL	http://www.ntop.org/ntop.html
NTP (1 example)	NTP = Network Time Protocol. NTP software provides the ability to synchronize in network computer clocks precisely.	GPL	http://www.eecis.udel.edu/~ntp/ http://www.eecis.udel.edu/~mills/ntp.htm http://www.eecis.udel.edu/~ntp/ntp_spool/html/ntpd.htm
Octave (1 example)	GNU Octave is a high-level language, primarily intended for numerical computations. It provides a convenient command line interface for solving linear and nonlinear problems numerically, and for performing other numerical experiments using a language that is mostly compatible with MATLAB. It may also be used as a batch-oriented language.	GPL	http://www.octave.org/

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Application	Description	License	References
OpenBSD (1 example)	The Unix-like operating BSD operating systems are FOSS competitors to Linux, and are notable for having generally higher levels of reliability and security. OpenBSD, NetBSD, and FreeBSD are best known. OpenBSD is notable for its high security, support for encryption, and an exceptionally rigorous self-auditing process. OpenBSD has been particularly successful at avoiding the kinds of default security holes commonly encountered when installing most operating systems.	BSD	http://www.openbsd.org/ http://www.daemonnews.org/1999/04/editorial.html http://www.freebsd.org/ http://www.netbsd.org/
OpenMap (2 examples)	OpenMap is JavaBeans-based programmer's toolkit that allows Java application to access map data from older databases and formats.	OpenMAP	http://openmap.bbn.com/
OpenOffice (1 example)	OpenOffice is a suite of business office support programs comparable to Microsoft Office, but based on the open and easily exchanged XML format. OpenOffice began as a free but closed-source system called StarOffice, which was bought by Sun Microsystems, who eventually made it fully FOSS. As of early 2002, OpenOffice was still undergoing the transition from closed to FOSS.	GPL	http://www.openoffice.org/
OpenSSH (1 example)	OpenSSH = Open Secured Shell. OpenSSH provides secure (encrypted) access to remote network computers.	BSD	http://www.openssh.com/
OpenSSL (1 example)	OpenSSL is a FOSS implementation of the Secure Sockets Layer (SSL v2/v3) and Transport Layer Security (TLS v1) protocols for secure communications over the Internet. It includes a full-strength, general-purpose library of cryptography software.	OpenSSL	http://www.openssl.org/
Perl (25 examples)	Perl = Practical Extraction and Reporting Language. A popular, functionally rich Internet language that is used in a wide range of applications that include extracting data from text, reformatting documents, and integrating software components.	GPL	http://www.perl.org/
Perl CGI scripts (1 example)	Perl scripts provide numerous functions to support web sites, including various types of search.	GPL	http://www.scriptsearch.com/Perl/Scripts_and_Programs/ http://awsd.com/scripts/
PerLDAP (2 examples)	The Lightweight Directory Access Protocol (LDAP) is a protocol for accessing online directory services. PerLDAP is a Perl implementation of it.	GPL	http://www.perldap.org/
PHP (4 examples)	PHP = PHP Hypertext Preprocessor. PHP allows web pages to interact with users (e.g., to accept and display form data).	PHP	http://www.php.net/
PingScan (1 example)	PingScan scans networks to find all accessible systems.	GPL	http://www.linux.org/apps/Appld_1996.html
Procmail (1 example)	Procmail supports lists and automated pre-processing of email, such as sorting, selecting, and re-routing emails based on various criteria and conditions.	GPL	http://www.procmail.org/
Qmail (1 example)	Qmail is a FOSS replacement for Sendmail, the program that transfers emails between computers on the Internet. Qmail has improved security, reliability, and performance features.	Qmail	http://qmail.goof.com/top.html

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Application	Description	License	References
R (1 example)	R is a language and environment for statistical computing and graphics. It provides a wide variety of statistical and graphical techniques such as linear and nonlinear modeling, statistical tests, time series analysis, classification, and clustering. It is also known as GNU S, a reference both to its use of the GPL and its similarity to the S statistical language.	GPL	http://www.r-project.org/
RealSecure (1 example)	RealSecure is a closed source intrusion detection product with FOSS origins.	Closed from open (RealSecure)	http://www.iss.net/products_services/enterprise_protection/rsnetwork/index.php
RRDtool (2 examples)	RRDtool = Round Robin Database tool. RRDtool provides efficient collection of network usage data over extended periods of time.	GPL	http://people.ee.ethz.ch/~oetiker/webtools/rrdtool/
RTLinux (1 example)	RTLinux allows Linux and BSD operating systems to respond reliably to time-critical applications such as embedded device control, instrumentation, and certain types of communications.	RTLinux	http://www.fsmlabs.com/community/
RWhois (1 example)	RWhois provides improved administrative identification of users on a network.	GPL	http://www.rwhois.net/
RXVT (1 example)	A smaller, more compact way to interact via command lines with programs.	GPL	http://www.rxvt.org/ http://www.math.fu-berlin.de/~guckes/rxvt/#intro
Samba (3 examples)	Samba is a popular tool that allows Linux and BSD (OpenBSD, NetBSD, and FreeBSD) operating systems to provide invisibly the same file and printer services as Windows servers. Since the Linux and BSD operating systems were generally more stable than early Windows NT servers, administrators often invisibly converted Windows servers to Linux-plus-Samba to improve network reliability.	GPL	http://us1.samba.org/samba/samba.html
SARA (1 example)	SARA = Security Auditor's Research Assistant. SARA is a third generation Unix security analysis tool that is based on the SATAN model.	SATAN	http://www.arc.com/sara/
SATAN (2 examples)	SATAN = Security Administrator Tool for Analyzing Networks. It is a first generation Unix security analysis tool that collects data on networked hosts.	SATAN	http://www.uazone.org/demch/analysis/sec-inchtools.html#5.1
Saxon (1 example)	SAXON provides tools for processing XML. (XML is the successor to the HTML used in most Internet web pages.) It includes an XML standards-compliant XSLT processor, plus and a number of useful extensions, such as a Java library that provides XSL-like processing.	MPL	http://saxon.sourceforge.net/
SCA (1 example)	SCA = Software Communications Architecture. This web site provides standards for writing code for software-defined radio applications. This is an early effort to promote sharing by providing an overall standards framework through which contributed software can work and interoperate.	Community (SCA)	http://www.jtrs.saalt.army.mil/docs/documents/sca.html
sed (2 examples)	sed = Stream Editor. GNU sed can be used to extract or transform text in very large files, or in incoming or outgoing streams of text data of indefinite length. Perl and awk (gawk) both provide more functionality, but for simple filtering and conversions, sed is both fast and easy to use.	GPL	http://www.gnu.org/software/sed/sed.html http://www.dreamwvr.com/sed-info/sed-faq.html

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Application	Description	License	References
SELinux (2 examples)	SELinux = Security Enhanced Linux, a set of Linux enhancements developed specifically by NSA to make Linux usable in a broader range of government and industry applications. (Note: In contrast, NSA Signal Intelligence prohibits use of Linux.)	GPL	http://www.nsa.gov/selinux/
Sendmail (1 example)	Sendmail takes care of the actual transfer of email messages between Internet computers. Sendmail is the most widely used such program on the Internet. Qmail provides a more security-focused FOSS alternative.	Sendmail	http://www.sendmail.org/
SNARE (1 example)	SNARE = System iNtrusion Analysis and Reporting Environment). An auditing and intrusion detection module that can be attached directly to the Linux kernel.	GPL	http://www.intersectalliance.com/projects/Snare/
Snort (3 examples)	Snort is a multi-platform, lightweight, rule-based tool for detecting hostile intrusions into a network. It works well on small networks, and can be deployed quickly to help fill in network security holes when new attacks emerge.	GPL	http://www.snort.org/ http://www.snort.org/docs/lisapaper.txt
Squid (1 example)	Squid improves web performance for Unix and Unix-like systems by invisibly providing local copies (caching) of frequently used files and information from remote parts of the web. It supports full-featured proxying (that is, invisible replacement of requests for files from remote sites with copies of the same information previously stored locally) and caching for most of the major web protocols and formats, including HTTP, FTP, and web site names (URLs), and also proxying for SSL.	GPL	http://www.squid-cache.org/
Tcl/Tk (1 example)	Tcl is a scripting language for controlling computer devices, and Tk is a library for creating graphical interfaces to those parts.	BSD	http://www.tcl.tk/
TCP Wrappers (2 examples)	Provides monitoring and filtering of incoming requests for network services, including sysstat, finger, ftp, telnet, rlogin, rsh, exec, tftp, and talk.	TCP Wrappers	ftp://ftp.porcupine.org/pub/security/tcp_wrappers_7.6.BLURB ftp://ftp.porcupine.org/pub/security/index.html
Tomcat (1 example)	Tomcat is a FOSS implementation of the official "servlet container" for Java Servlets and JavaServer Pages.	Apache	http://jakarta.apache.org/tomcat/index.html
Top (1 example)	Top is a standard Unix (Linux and BSD) tool for determining which processes are consuming the most processing resources.	GPL	http://www.tac.eu.org/cgi-bin/man-cgi?top+1
Tripwire (2 examples)	Tripwire monitors key attributes of files that should not change and provides alerts when they do change.	GPL	http://www.tripwire.org/qanda/faq.php
VisAD (1 example)	VisAD = Visualization for Algorithm Development. VisAD is a Java library for interactive and collaborative visualization and analysis of numerical data.	LGPL	http://www.ssec.wisc.edu/~billh/visad.html
VOCAL (1 example)	VOCAL = Vovida Open Communication Application Library. VOCAL provides tools and software for building advanced Internet telephony (VoIP) applications.	Vovida	http://www.vovida.org/applications/downloads/vocal/home.html
VTK (1 example)	VTK = Visualization Toolkit. VTK provides 3D computer graphics, image processing, and visualization. It has interfaces to most of the major Internet computer languages, and is used by thousands of researchers and developers around the world.	VTK	http://public.kitware.com/VTK/

Use of Free and Open-Source Software (FOSS) in the U.S. Department of Defense

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Application	Description	License	References
Webmin (1 example)	Webmin makes it possible to do web-based remote or local system administration of Unix and Unix-like systems. Using any browser that supports tables and forms (and Java for the File Manager module), you can setup user accounts, Apache, DNS, file sharing, and other common system admin tasks. The web server part of Webmin is written in Perl, using only standard Perl modules.	BSD	http://www.webmin.com/
WebTAS (1 example)	WebTAS = Web-enabled Timeline Analysis System. WebTAS provides tools for analyzing data and looking for suspicious patterns in the data of both large and small organizations, particularly law enforcement and security agencies. It is free under a limited access (community) license.	Community (WebTAS)	http://www.webtas.com/ http://iswsolutions.com/webtas.shtml
Weka (1 example)	Weka is a collection of machine learning algorithms for solving real-world data mining problems. It is written in Java and runs on almost any platform.	GPL	http://www.cs.waikato.ac.nz/~ml/weka/
WU-FTPD (1 example)	WU-FTPD provides the ability to transfer files easily ("FTP") between computers on the Internet. It is the most widely used program for providing FTP capabilities.	WU-FTPD	http://www.wu-ftp.org/
Xalan (1 example)	Xalan-Java and Xalan-C++ are XSLT-based tools for converting XML documents into HTML, text, or other XML document types.	Apache	http://xml.apache.org/xalan-j/ http://www.garshol.priv.no/download/xmltools/prod/Xalan-C.html
Xerces (3 examples)	Xerces interprets (parses) XML, which is the successor to HTML. Xerces is available for Java, C++, and Windows.	Apache	http://xml.apache.org/
XFree86 (1 example)	XFree86 is a FOSS version of the X windowing system used in most Unix-like systems, including Linux and the BSD operating systems. It provides easy-to-use, PC-like graphical displays and controls for computer users.	Xfree86	http://www.xfree86.org/
GGobi (1 example)	GGobi is a data visualization system for viewing high-dimensional data. The most recent version is called GGobi. GGobi components include four FOSS licenses: AT&T Open Source License , GPL , BSD , and LGPL .	AT&T Open Source License	http://www.ggobi.org/ ; http://www.research.att.com/areas/stat/ggobi/
Xpatch (1 example)	Xpatch provides tools for predicting the likely radar signatures of both nearby and distant objects.	Community (Xpatch)	http://www.saic.com/products/software/xpatch/
zlib (6 examples)	A library of FOSS compression software with a license that permits use in closed source products.	zlib	http://www.gzip.org/zlib/
Zope (1 example)	Zope is a web application server used to create web-based applications such as intranets and portals.	ZPL	http://www.zope.org/

Appendix C. Use of Licenses in DoD Applications

C.1 List of FOSS Licenses

[Table 8](#) lists the FOSS licenses used in the identified DoD applications, with links to the full texts of the licenses (in Appendix D) provided in the last column of the table.

Table 8. Index and Notes for FOSS Licenses

License Name	Notes	Text
ACE/TAO Copyright	BSD-like (Douglas Schmidt)	D.1
AFPL (Aladdin Free Public License)	Unusual for its prohibition of for-profit sale of the software	D.2
Apache License	Used in the widely deployed Apache web server.	D.3
Artistic License	Used in the widely deployed Perl web language.	D.4
AT&T Open Source License		D.5
BSD License	The most common FOSS license, after GPL. Allows easy inclusion in non-BSD software, but does not ensure code rights to later software recipients	D.6
C++ Boost License Selection Spec.	BSD-like	D.7
Closed from open (eTrust)	Closed application with FOSS (OpenSSL License and toolkit) origins	D.8
Closed from open (GateD)	The original Cornell GateD Copyright made the source available, but placed restrictions on redistribution	D.9
Closed from open (MIMEsweeper)	Closed source tools with FOSS (SATAN License) origins	D.10
Closed from open (RealSecure)	RealSecure is a closed source tools with FOSS (SATAN License) origins	D.11
Colt License and Copyrights	A diverse mix of licenses including LGPL, public domain, and community	D.12
Community License (EADSIM)	Community license for U.S. Government users	D.13
Community License (WebTAS)	Community license for U.S. Government users	D.14
Community License (Xpatch)	Community license for U.S. Government users	D.15
Community Specification (CIS)	A community specification. Associated Scoring Tools that implement the specification are provided as freeware	D.16
Community Specification (SCA)	A community specification for developing proprietary software products.	D.17
Gnuplot Copyright	Forbids redistribution of full source code	D.18
GPL (General Public License)	The dominant FOSS license. GPL requires that code that directly incorporates GPL source also be licensed as GPL. This makes GPL more complicated to use (see in contrast BSD), but has the benefit of ensuring that all subsequent recipients of the original GPL software receive full software modification and redistribution rights (see in contrast BSD).	D.19
ImageMagick Copyright	BSD-like	D.20
IPL (IBM Public License)		D.21
ISC License	BSD-like	D.22
LaTeXProject Public License	One of the earliest open-source-like licenses	D.23
LGPL (Lesser General Public License)	BSD-like	D.24
Lsof Copyright	BSD-like (Victor Abell)	D.25
MITRE License	Allows selection of either GPL or MPL	D.26
MPL (Mozilla Public License)	Used to make public the formerly proprietary source of the Netscape browser	D.27
OpenMAP License	Retains BBN ownership of original source code, but allows developers to retain rights to any source code they may add	D.28
OpenSSL License	Apache-like	D.29
PHP License	Apache-like; replaces GPL of earlier PHP releases	D.30
Public Domain (Expect)	Expect is U.S. government public domain software whose original source code cannot be license or copyrighted, but can be incorporated freely under other more restrictive licenses.	D.31
Qmail License	Easy source distribution, but very tight control of changes	D.32
RTLinux Open Patent License	GPL-like and fully GPL compliant	D.33
SATAN License	BSD-like, but does not allow profits from redistributed copies	D.34
Sendmail License	GPL-like	D.35
TCP Wrappers License	BSD-like	D.36
Vovida Software License	BSD-like	D.37
VTK Copyright	BSD-like	D.38
WU-FTPD Software License	GPL-like	D.39
XFree86	BSD-like; very similar to the X/MIT License	D.40
X/MIT License	BSD-like; the basis of for the XFree86 License	D.41
zlib License	BSD-like	D.42
ZPL (Zope Public License)	GPL compatible	D.43

C.2 Applications Grouped By License

[Table 9](#) provides a breakdown of DoD FOSS applications by the type of FOSS license used in each application. The GPL dominates, followed distantly by BSD and Apache.

Table 9. Use of Licenses In All Applications

<u>License</u>	<u>DoD Applications Using License</u>					
GPL (59) [52%]	ACID	Autoconf	Automake	bash	Bastille	
	Condor	COPS	CVS	Cygwin	DDD	
	DjVuLibre	Emacs	gawk (awk)	GCC	GDB	
	GNAT	GnuPG	grep	h2n	HOSTS	
	JDOM	jSIP	Kaffe	Linux	Linux (Red Hat)	
	Linux firewalls	m4	Majordomo	make	Maxima	
	MRTG	MTR	MySQL	Nessus	NetSaint	
	nload	Nmap	ntop	NTP	Octave	
	OpenOffice	Perl	Perl CGI scripts	PerlLDAP	PingScan	
	Procmail	R	RRDtool	RWhois	RXVT	
	Samba	sed	SELinux	SNARE	Snort	
	Squid	Top	Tripwire	Weka		
	AMANDA	FreeBSD	NetBSD	OpenBSD		
	OpenSSH	Tcl/Tk	Webmin			
	Apache	Jakarta	Jaxen			
	Tomcat	Xalan	Xerces			
BSD (7) [6%]	CIS Benchmarks	EADSIM	SCA			
Apache (6) [5%]	WebTAS	Xpatch				
Community: CIS , EADSIM , SCA , WebTAS , Xpatch (5) [4%]	eTrust	GateD				
Closed from open: eTrust , GateD , MIMESweeper , RealSecure (4) [3%]	MIMESweeper	RealSecure				
LGPL (3) [3%]	JADE	JBoss				
ACE/TAO (2) [2%]	VisAD					
ACE/TAO (2) [2%]	ACE	ACE ORB (TAO)				
SATAN (2) [2%]	SARA	SATAN				
AFPL (1) [1%]	Ghostscript					
Artistic (1) [1%]	Crack					
AT&T Open Source (1) [1%]	XGobi					
C++ Boost (1) [1%]	C++ Boost					
Colt (1) [1%]	Colt					
Gnuplot (1) [1%]	gnuplot					
ImageMagick (1) [1%]	ImageMagick					
IPL (1) [1%]	Jikes					
ISC (1) [1%]	BIND					
LaTeX (1) [1%]	LaTeX					
Lsof (1) [1%]	Lsof					
MITRE (1) [1%]	CVW					
MPL (1) [1%]	Saxon					
OpenMAP (1) [1%]	OpenMap					
PHP (1) [1%]	PHP					
OpenSSL (1) [1%]	OpenSSL					
Public Domain (Expect) (1) [1%]	Expect					
Qmail (1) [1%]	Qmail					
RTLinux (1) [1%]	RTLinux					
Sendmail (1) [1%]	Sendmail					
TCP Wrappers (1) [1%]	TCP Wrappers					
Vovida (1) [1%]	VOCAL					
VTK (1) [1%]	VTK					
WU-FTPD (1) [1%]	WU-FTPD					
XFree86 (1) [1%]	XFree86					
zlib (1) [1%]	zlib					
ZPL (1) [1%]	Zope					

(Total of 115 applications)

C.3 Breakdown of Licenses By Application Use

The tables in this section show the relative levels of use of the various types of FOSS licenses within the four major application areas of Infrastructure Support, Software Development, Security, and Research.

C.3.1 Use of Licenses in Infrastructure Support

[Table 10](#) provides a breakout of how the licenses are used for Infrastructure Support.

Table 10. Use of Licenses In Infrastructure Support Applications

<u>License</u>	<u>DoD Infrastructure Support Applications Using License</u>				
GPL (32) [49%]	Condor grep Maiordomo nload Perl RRDtool Squid	Cygwin h2n MRTG Nmap Perl CGI scripts RWhois Top	Emacs JDOM MTR ntop PerlLDAP Samba	gawk (awk) Linux (Red Hat) MySQL NTP PingScan sed	GnuPG Linux firewalls NetSaint OpenOffice Procmail SELinux
Apache (5) [8%]	Apache Xalan	Jaxen Xerces	Tomcat		
BSD (4) [6%]	AMANDA OpenSSH	FreeBSD Webmin			
ACE/TAO (2) [3%]	ACE	ACE ORB (TAO)			
Community: EADSIM , SCA (2) [3%]	EADSIM	SCA			
SATAN (2) [3%]	SARA	SATAN			
AFPL (1) [1.5%]	Ghostscript				
Closed from open: GateD (1) [1.5%]	GateD				
ImageMagick (1) [1.5%]	ImageMagick				
ISC (1) [1.5%]	BIND				
LaTeX (1) [1.5%]	LaTeX				
LGPL (1) [1.5%]	JBoss				
Lsof (1) [1.5%]	Lsof				
MITRE (1) [1.5%]	CVW				
OpenMAP (1) [1.5%]	OpenMap				
OpenSSL (1) [1.5%]	OpenSSL				
PHP (1) [1.5%]	PHP				
Qmail (1) [1.5%]	Qmail				
RTLlinux (1) [1.5%]	RTLlinux				
Sendmail (1) [1.5%]	Sendmail				
WU-FTPD (1) [1.5%]	WU-FTPD				
XFree86 (1) [1.5%]	XFree86				
zlib (1) [1.5%]	zlib				
ZPL (1) [1.5%]	Zope				

(Total of 65 applications)

C.3.2 Use of Licenses in Software Development

[Table 11](#) provides the same breakout for Software Development.

Table 11. Use of Licenses In Software Development Applications

<u>License</u>	<u>DoD Software Development Applications Using License</u>					
GPL (22) [42%]	Autoconf	Automake	bash	CVS	Cygwin	
	DDD	DjVuLibre	Emacs	GCC	GDB	
	GNAT	GnuPG	h2n	JDOM	jSIP	
	Kaffe	Linux	m4	make	MySQL	
	Perl	RXVT				
Apache (5) [10%]	Jakarta	Jaxen	Tomcat			
	Xalan	Xerces				
BSD (4) [8%]	FreeBSD	NetBSD				
	OpenSSH	Tcl/Tk				
ACE/TAO (2) [4%]	ACE	ACE ORB (TAO)				
LGPL (2) [4%]	JADE	JBoss				
C++ Boost (1) [2%]	C++ Boost					
Closed from open: GateD (1) [2%]	GateD					
Community: SCA (1) [2%]	SCA					
ImageMagick (1) [2%]	ImageMagick					
IPL (1) [2%]	Jikes					
LaTeX (1) [2%]	LaTeX					
MPL (1) [2%]	Saxon					
OpenMAP (1) [2%]	OpenMap					
PHP (1) [2%]	PHP					
Public Domain (Expect) (1) [2%]	Expect					
RTLinux (1) [2%]	RTLinux					
Vovida (1) [2%]	VOCAL					
VTK (1) [2%]	VTK					
WU-FTPD (1) [2%]	WU-FTPD					
XFree86 (1) [2%]	XFree86					
zlib (1) [2%]	zlib					
ZPL (1) [2%]	Zope					

(Total of 52 applications)

C.3.3 Use of Licenses in Security

Table 12 provides the breakout for Security.

Table 12. Use of Licenses In Security Applications

<u>License</u>	<u>DoD Security Applications Using License</u>					
GPL (26) [59%]	ACID HOSTS MTR Nmap RRDtool Tripwire	Bastille Linux MySQL ntop SELinux	COPS Linux (Red Hat) Nessus Perl SNARE	GCC Linux firewalls NetSaint Perl CGI scripts Snort	GnuPG MRTG nload PerLDAP Squid	
BSD (5) [11%]	FreeBSD Tcl/Tk	OpenBSD Webmin	OpenSSH			
Closed from open: eTrust , MIMEsweeper , RealSecure (3) [7%]	eTrust RealSecure	MIMEsweeper				
SATAN (2) [4.5%]	SARA	SATAN				
Artistic (1) [2%]	Crack					
Community: CIS (1) [2%]	CIS Benchmarks					
Lsof (1) [2%]	Lsof					
OpenSSL (1) [2%]	OpenSSL					
PHP (1) [2%]	PHP					
Public Domain (Expect) (1) [2%]	Expect					
Qmail (1) [2%]	Qmail					
TCP Wrappers (1) [2%]	TCP Wrappers					

(Total of 44 applications)

C.3.4 Use of Licenses in Research

Finally, Table 13 provides the license breakout for Research.

Table 13. Use of Licenses In Research Applications

<u>License</u>	<u>DoD Research Applications Using License</u>				
GPL (7) [33%]	Condor Octave	iSIP R	Linux Weka	Maxima	
Community: EADSIM , SCA , Xpatch (3) [14%]	EADSIM Xpatch	SCA			
LGPL (2) [10%]	JADE	VisAD			
ACE/TAO (1) [5%]	ACE ORB (TAO)				
AT&T Open Source (1) [5%]	XGobi				
Closed from open: GateD (1) [5%]	GateD				
Colt (1) [5%]	Colt				
Gnuplot (1) [5%]	gnuplot				
IPL (1) [5%]	Jikes				
MITRE (1) [5%]	CVW				
Vovida (1) [5%]	VOCAL				
VTK (1) [5%]	VTK				

(Total of 21 applications)

Appendix D. FOSS Licenses

This appendix provides the complete text of the licenses used in the DoD FOSS applications that were identified by the survey. The online Internet source of each license is also given.

D.1 ACE-TAO License

Source: <http://www.cs.wustl.edu/~schmidt/ACE-copying.html>

Note: The license shown below is for reference purposes and does not apply to this document.

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If you have any suggestions, additions, comments, or questions, please let [me](#) know.

[Douglas C. Schmidt](#)

D.2 AFPL

Source: <http://www.cs.wisc.edu/~ghost/doc/AFPL/7.04/Public.htm>

Note: The license shown below is for reference purposes and does not apply to this document.

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Boost Library Requirements and Guidelines

...

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...

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GateD, Release 3.5

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GateD is based on Kirton's EGP, UC Berkeley's routing daemon (routed), and DCN's HELLO routing Protocol. Development of GateD has been supported in part by the National Science Foundation.

Please forward bug fixes, enhancements and questions to the gated mailing list: gated-people@gated.cornell.edu.

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`package corejava`

Written by [Cay S. Horstmann](http://www.horstmann.com/) (<http://www.horstmann.com/>) & Gary Cornell.

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`package edu.cornell.lassp.houle.RngPack`

Written by [Paul Houle](http://www.msc.cornell.edu/~houle) (<http://www.msc.cornell.edu/~houle>). Check the [RngPack home page](http://www.honeylocust.com/RngPack/) (<http://www.honeylocust.com/RngPack/>) for more info.

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`package edu.oswego.cs.dl.util.concurrent`

Written by [Doug Lea](http://gee.cs.oswego.edu/dl) (<http://gee.cs.oswego.edu/dl>). Check the [util.concurrent home page](http://gee.cs.oswego.edu/dl/classes/EDU/oswego/cs/dl/util/concurrent/intro.html) (<http://gee.cs.oswego.edu/dl/classes/EDU/oswego/cs/dl/util/concurrent/intro.html>) for more info.

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`packages hep.aida.*`

Written by Pavel Binko, Dino Ferrero Merlino, Wolfgang Hoschek, Tony Johnson, Andreas Pfeiffer, and others. Check the [FreeHEP home page](http://java.freehep.org/) (<http://java.freehep.org/>) for more info.

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packages jal*

Written by [Matthew Austern](http://reality.sgi.com/austern/index.html) (<http://reality.sgi.com/austern/index.html>) and [Alexander Stepanov](mailto:stepanov@mti.sgi.com) (<mailto:stepanov@mti.sgi.com>). Check the [JAL home page](http://reality.sgi.com/austern_mti/java/) (http://reality.sgi.com/austern_mti/java/) for more info.

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package ViolinStrings

Written by [Michael Schmeling](mailto:MSchmelng@aol.com) (<mailto:MSchmelng@aol.com>). Check the [ViolinStrings home page](http://users.aol.com/MSchmelng/Home.html) (<http://users.aol.com/MSchmelng/Home.html>) for more info.

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D.17 Community Specification (SCA)

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D.24 LGPL

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10. LIMITATION OF LIABILITY AND REMEDIES. NOTWITHSTANDING ANY DAMAGES THAT RECIPIENT MIGHT INCUR FOR ANY REASON WHATSOEVER (INCLUDING, WITHOUT LIMITATION, ALL DAMAGES REFERENCED ABOVE AND ALL DIRECT OR GENERAL DAMAGES), THE ENTIRE LIABILITY OF MICROSOFT AND ANY OF ITS SUPPLIERS UNDER ANY PROVISION OF THIS AGREEMENT AND RECIPIENT'S EXCLUSIVE REMEDY FOR ALL OF THE FOREGOING SHALL BE LIMITED TO ACTUAL DAMAGES INCURRED BY YOU BASED ON REASONABLE RELIANCE UP TO THE LESSER OF THE AMOUNT ACTUALLY PAID BY RECIPIENT FOR THE SOFTWARE OR FIVE DOLLARS (US\$5.00). THE FOREGOING LIMITATIONS, EXCLUSIONS AND DISCLAIMERS (INCLUDING SECTIONS 8 AND 9 ABOVE) SHALL APPLY TO THE MAXIMUM EXTENT PERMITTED BY APPLICABLE LAW, EVEN IF ANY REMEDY FAILS ITS ESSENTIAL PURPOSE.
11. GOVERNING LAW/JURISDICTION/ATTORNEYS' FEES. This Agreement shall be construed and controlled by the laws of the State of Washington, and Recipient consents to exclusive jurisdiction and venue in the federal courts sitting in King County, Washington, unless no federal jurisdiction exists, in which case Recipient consents to exclusive jurisdiction and venue in the Superior Court of King County, Washington. Recipient waives all defenses of lack of personal jurisdiction and forum non-conveniens. Process may be served on either party in the manner authorized by applicable law or court rule. If either party employs attorneys to enforce any rights arising out of or relating to this Agreement, the prevailing party shall be entitled to recover its reasonable attorneys' fees, costs and other expenses.
12. U.S. GOVERNMENT RIGHTS. All Software provided to the U.S. Government pursuant to solicitations issued on or after December 1, 1995 is provided with the rights and restrictions described elsewhere herein. All Software provided to the U.S. Government pursuant to solicitations issued prior to December 1, 1995 is provided with

RESTRICTED RIGHTS as provided for in FAR, 48 CFR 52.227-14 (JUNE 1987) or DFAR, 48 CFR 252.227-7013 (OCT 1988), as applicable.

13. EXPORT RESTRICTIONS. Recipient acknowledges that Software is of U.S. origin. Recipient agrees to comply with all applicable international and national laws that apply to the Software, including the U.S. Export Administration Regulations, as well as end-user, end-use and destination restrictions issued by U.S. and other governments. For additional information, see <http://www.microsoft.com/exporting/>.
14. NOTE ON JAVA SUPPORT. THE SOFTWARE MAY CONTAIN SUPPORT FOR PROGRAMS WRITTEN IN JAVA. JAVA TECHNOLOGY IS NOT FAULT TOLERANT AND IS NOT DESIGNED, MANUFACTURED, OR INTENDED FOR USE OR RESALE AS ONLINE CONTROL EQUIPMENT IN HAZARDOUS ENVIRONMENTS REQUIRING FAIL-SAFE PERFORMANCE, SUCH AS IN THE OPERATION OF NUCLEAR FACILITIES, AIRCRAFT NAVIGATION OR COMMUNICATION SYSTEMS, AIR TRAFFIC CONTROL, DIRECT LIFE SUPPORT MACHINES, OR WEAPONS SYSTEMS, IN WHICH THE FAILURE OF JAVA TECHNOLOGY COULD LEAD DIRECTLY TO DEATH, PERSONAL INJURY, OR SEVERE PHYSICAL OR ENVIRONMENTAL DAMAGE. Sun Microsystems, Inc. has contractually obligated Microsoft to make this disclaimer.
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17. Should you have any questions concerning this Agreement, or if you desire to contact Microsoft for any reason, please write Microsoft Corporation, One Microsoft Way, Redmond WA 98052-6399.

Do you accept all of the terms of the preceding License Agreement? If you choose No, Install will close. To install you must accept this agreement.

D.44.2 The Open Source Definition

Source: <http://www.opensource.org/docs/definition.php>

This is not a license, but rather a set of criteria for deciding whether a license is “open source.”

Note: The license shown below is for reference purposes and does not apply to this document.

The Open Source Definition

Version 1.9

*The indented, italicized sections below appear as annotations to the Open Source Definition (OSD) and are **not** a part of the OSD. A plain version of the OSD without annotations can be found [here](#)¹.*

A printable version of this annotated page is available [here](#)².

Introduction

Open source doesn't just mean access to the source code. The distribution terms of open-source software must comply with the following criteria:

1. Free Redistribution

The license shall not restrict any party from selling or giving away the software as a component of an aggregate software distribution containing programs from several different sources. The license shall not require a royalty or other fee for such sale.

***Rationale:** By constraining the license to require free redistribution, we eliminate the temptation to throw away many long-term gains in order to make a few short-term sales dollars. If we didn't do this, there would be lots of pressure for cooperators to defect.*

2. Source Code

The program must include source code, and must allow distribution in source code as well as compiled form. Where some form of a product is not distributed with source code, there must be a well-publicized means of obtaining the source code for no more than a reasonable reproduction cost—preferably, downloading via the Internet without charge. The source code must be the preferred form in which a programmer would

¹ http://www.opensource.org/docs/definition_plain.php

² http://opensource.org/docs/def_print.php

modify the program. Deliberately obfuscated source code is not allowed. Intermediate forms such as the output of a preprocessor or translator are not allowed.

Rationale: *We require access to un-obfuscated source code because you can't evolve programs without modifying them. Since our purpose is to make evolution easy, we require that modification be made easy.*

3. Derived Works

The license must allow modifications and derived works, and must allow them to be distributed under the same terms as the license of the original software.

Rationale: *The mere ability to read source isn't enough to support independent peer review and rapid evolutionary selection. For rapid evolution to happen, people need to be able to experiment with and redistribute modifications.*

4. Integrity of The Author's Source Code

The license may restrict source-code from being distributed in modified form only if the license allows the distribution of "patch files" with the source code for the purpose of modifying the program at build time. The license must explicitly permit distribution of software built from modified source code. The license may require derived works to carry a different name or version number from the original software.

Rationale: *Encouraging lots of improvement is a good thing, but users have a right to know who is responsible for the software they are using. Authors and maintainers have reciprocal right to know what they're being asked to support and protect their reputations.*

Accordingly, an open-source license must guarantee that source be readily available, but may require that it be distributed as pristine base sources plus patches. In this way, "unofficial" changes can be made available but readily distinguished from the base source.

5. No Discrimination Against Persons or Groups

The license must not discriminate against any person or group of persons.

Rationale: *In order to get the maximum benefit from the process, the maximum diversity of persons and groups should be equally eligible to contribute to open sources. Therefore we forbid any open-source license from locking anybody out of the process.*

Some countries, including the United States, have export restrictions for certain types of software. An OSD-conformant license may warn licensees of applicable restrictions and remind them that they are obliged to obey the law; however, it may not incorporate such restrictions itself.

6. No Discrimination Against Fields of Endeavor

The license must not restrict anyone from making use of the program in a specific field of endeavor. For example, it may not restrict the program from being used in a business, or from being used for genetic research.

***Rationale:** The major intention of this clause is to prohibit license traps that prevent open source from being used commercially. We want commercial users to join our community, not feel excluded from it.*

7. Distribution of License

The rights attached to the program must apply to all to whom the program is redistributed without the need for execution of an additional license by those parties.

***Rationale:** This clause is intended to forbid closing up software by indirect means such as requiring a non-disclosure agreement.*

8. License Must Not Be Specific to a Product

The rights attached to the program must not depend on the program's being part of a particular software distribution. If the program is extracted from that distribution and used or distributed within the terms of the program's license, all parties to whom the program is redistributed should have the same rights as those that are granted in conjunction with the original software distribution.

***Rationale:** This clause forecloses yet another class of license traps.*

9. The License Must Not Restrict Other Software

The license must not place restrictions on other software that is distributed along with the licensed software. For example, the license must not insist that all other programs distributed on the same medium must be open-source software.

***Rationale:** Distributors of open-source software have the right to make their own choices about their own software.*

Yes, the GPL is conformant with this requirement. Software linked with GPLed libraries only inherits the GPL if it forms a single work, not any software with which they are merely distributed.

Origins: Bruce Perens wrote the first draft of this document as “The Debian Free Software Guidelines”, and refined it using the comments of the Debian developers in a month-long e-mail conference in June, 1997. He removed the Debian-specific references from the document to create the “Open Source Definition.”

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Send questions or suggestions about the website to Steve M.: [webmaster at opensource.org](mailto:webmaster@opensource.org)²

¹ <http://www.opensource.org/index.php>

² <mailto:webmaster@opensource.org>

Appendix E. References

1. The MITRE Corporation, <http://www.mitre.org/>
2. U.S. Department of Defense, <http://www.dod.mil/>
3. Free Software Foundation, <http://www.fsf.org/>
4. Open Source Initiative, <http://www.opensource.org/>
5. Open Source Initiative Approved Licenses, <http://www.opensource.org/licenses/>
6. The Open Source Definition, <http://www.opensource.org/docs/definition.php>
7. Microsoft, <http://www.microsoft.com/>
8. Microsoft Windows Services for UNIX (SFU), <http://www.microsoft.com/windows/sfu/>
9. Microsoft Mobile Internet Toolkit (MIT) End User License Agreement (EULA),
http://msdn.microsoft.com/downloads/eula_mit.htm
10. "IIS: Ditched or Rewritten?",
<http://www.geek.com/news/geeknews/2001sep/gee20010926008034.htm>
11. Red Hat, <http://www.redhat.com/>
12. VA Software, <http://www.vasoftware.com/>
13. GNU Scientific Library, <http://www.gnu.org/software/gsl/>
14. Python, <http://www.python.org/>

Appendix F. Usage Examples

Table 14. Examples of Free and Open Source Software Use in the U.S. DoD

<p>This table is Sensitive But Unclassified (SBU). It is distributed under a separate cover.</p>
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